

AGENDA ITEM NO: 17

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST REPORT TO THE BOARD OF DIRECTORS THURSDAY 24 NOVEMBER 2011

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 OCTOBER 2011
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Board
Confidentiality Level & Reason:	N/A
Medium Term Plan Ref:	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
Key Issues Summary:	An actual surplus of £0.467m has been recorded for the first seven months (April – October) of the 2011/12 financial year. This represents an adverse variance of (£133,000) against the planned £0.600m surplus for the period. This position excludes transition costs of (£3.484m) relating to the new hospital moves and the final new hospital impairment loss (£49.060m). Therefore the overall deficit reported as at 31 October 2011 is (£52.077m).
Recommendations:	The Board of Directors is asked to: <ul style="list-style-type: none">• Receive the contents of this report

Signed: M Sexton	Date: 15 November 2011
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 24 NOVEMBER 2011

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 OCTOBER 2011

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the first seven months of the 2011/12 financial year between 1 April 2011 and 31 October 2011. It contains the three main financial statements; the Statement of Comprehensive Income, the Statement of Position and the Cash Flow Statement. The report details operating expenditure, variances and capital expenditure for the period. It also summarises healthcare activity data and the associated income for the first six months of the financial year.

The 2011/12 Financial Plan provides for an underlying annual surplus of £0.500m. However, this excludes planned 'exceptional costs' of £52.600m comprising £3.500m of restructuring costs associated with the transition to the New Hospital and an expected impairment loss of £49.100m on the new building. Therefore, in overall terms the Trust has planned for a deficit of (£52.100m) in 2011/12.

An actual surplus of £0.467m has been recorded for the first seven months of the financial year. This represents an adverse variance of (£0.133m) against the planned £0.600m surplus (before exceptional costs). To date transition costs of £3.484m have been incurred and the final element of the planned new hospital impairment has been recognised (£49.060m). Including these items, the overall deficit at 31 October 2011 is (£52.077m).

The reported financial position includes an aggregate overspend of (£4.550m) across the Trust's operational divisions for the year to date after recognition of £3.418m of additional healthcare income. This largely reflects a combination of pay pressures (nursing and medical staff), slippage against CIP schemes and under-recovery against private patient income targets. The operational overspend has been offset by the release of £4.491m from Trust Reserves (Specific and General Contingency). Forecasts and action plans have been developed to ensure that the Trust's planned surplus is achieved by 31 March 2012.

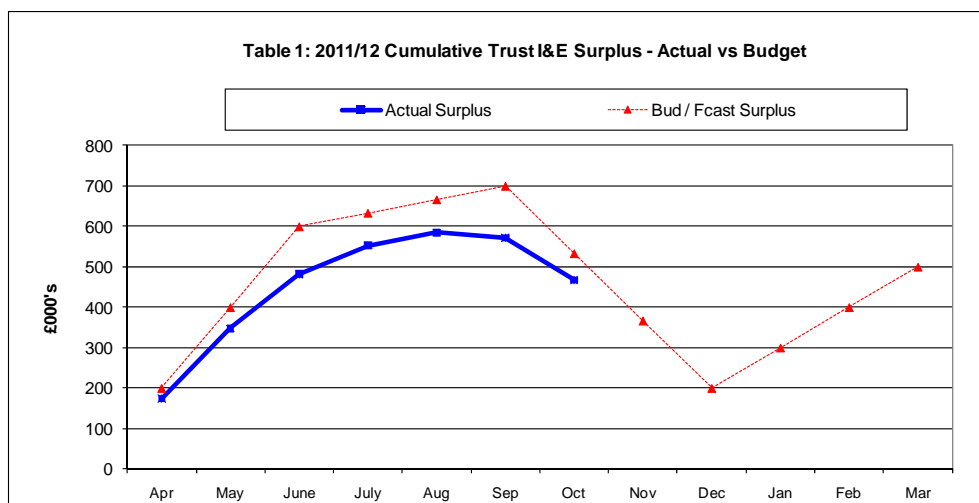
The Financial Risk Rating (FRR) remains at 3 based on the Trust's 2011/12 Annual Plan, the latest results published by Monitor (Quarter 1) and self assessment of Quarter 2. Current financial performance indicates that this rating should be maintained throughout the remainder of the 2011/12 financial year.

2. Financial Position Summary

2.1 Year to Date Position

The cumulative income and expenditure surplus (excluding impairments and restructuring) is shown in Table 1 below. This shows the £0.467m surplus year to date, this is (£0.133m) below the planned £0.600m surplus. The budgeted surplus to date is not a straight pro-rata of the planned full year surplus due to the phasing of expenditure across the year.

Table 1 – I&E Surplus vs. Plan 2011/12



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget Apr-Oct 2011 £m	Actual Apr-Oct 2011 £m	Variance £m
Revenue	322.3	329.6	7.3
Operating Expenses	(301.1)	(308.6)	(7.5)
EBITDA	21.2	21.0	(0.2)
Depreciation	(10.6)	(10.7)	(0.1)
Donated Asset Reserve Transfer	0.5	0.5	0.0
Interest Receivable	0.4	0.6	0.2
Interest Payable	(9.7)	(9.7)	0.0
Contingent Rental	(1.2)	(1.2)	0.0
PDC Dividend	0.0	0.0	0.0
Operational (Deficit)/Surplus	0.6	0.5	(0.1)
Transition Costs	(2.0)	(3.5)	(1.5)
Impairments on Property	(49.1)	(49.1)	0.0
Retained (Deficit)/Surplus	(50.5)	(52.1)	(1.5)

Note - may include rounding differences

2.2. Forecast Outturn

Excluding impairments and restructuring costs, the Trust continues to forecast achievement of a £0.500m surplus for the year in line with the Annual Plan submitted to Monitor.

3. **Income and Expenditure**

3.1 Income Analysis

Total operating income is £7.3m above budget at 31 October 2011. NHS Clinical Income is £5.9m above plan due to £2.5m of additional cost per case drug and device funding and £3.4m recognised in respect of other increases in activity. This reflects the over-performance outlined in section 3.2 (Table 5) below and some gains related to 2010/11 where final activity exceeded previous estimates and payments have now been agreed.

Non-NHS Clinical Income is (£1.0m) below plan due primarily to shortfalls in private patients (£0.7m) and the NHS Injury Cost Recovery Scheme income (£0.1m). Other Income is above plan by £2.4m reflecting increases in education, research and development and non NHS SLA income. These sources typically have matching expenditure commitments and therefore have little impact on the bottom line.

Table 3 – Income against plan

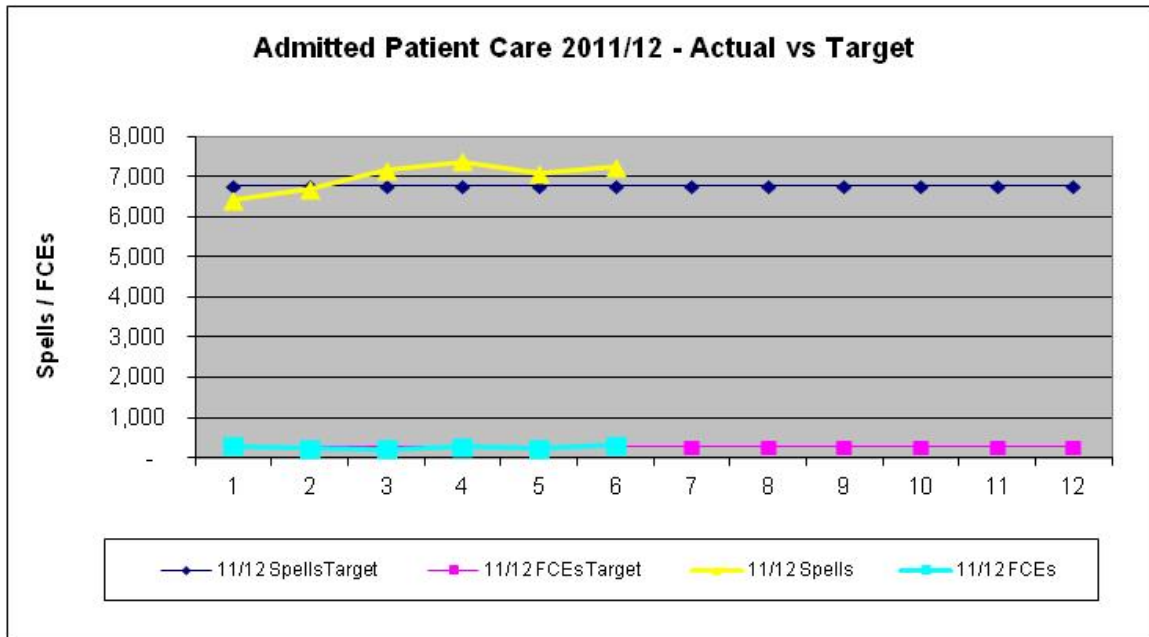
	Budget Apr-Oct 2011 £m	Actual Apr-Oct 2011 £m	Variance £m
Clinical - NHS	252.8	258.7	5.9
Clinical - Non NHS	7.6	6.6	(1.0)
Other	61.9	64.3	2.4
TOTAL	322.3	329.6	7.3

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2011/12 monthly admitted patient care activity against target levels for the first six months of the financial year. Inpatient activity was above plan in Month 6 and both Spells (covered by Payment by Results) and FCE's (locally priced) are higher than plan for the year to date.

Table 4.1 – Trust Inpatient Activity



Outpatient activity was ahead of plan in Month 6 for new attendances, follow ups and procedures as shown in Table 4.2. Year to date activity is also above plan for each type of attendance.

Table 4.2 – Trust Outpatient Activity

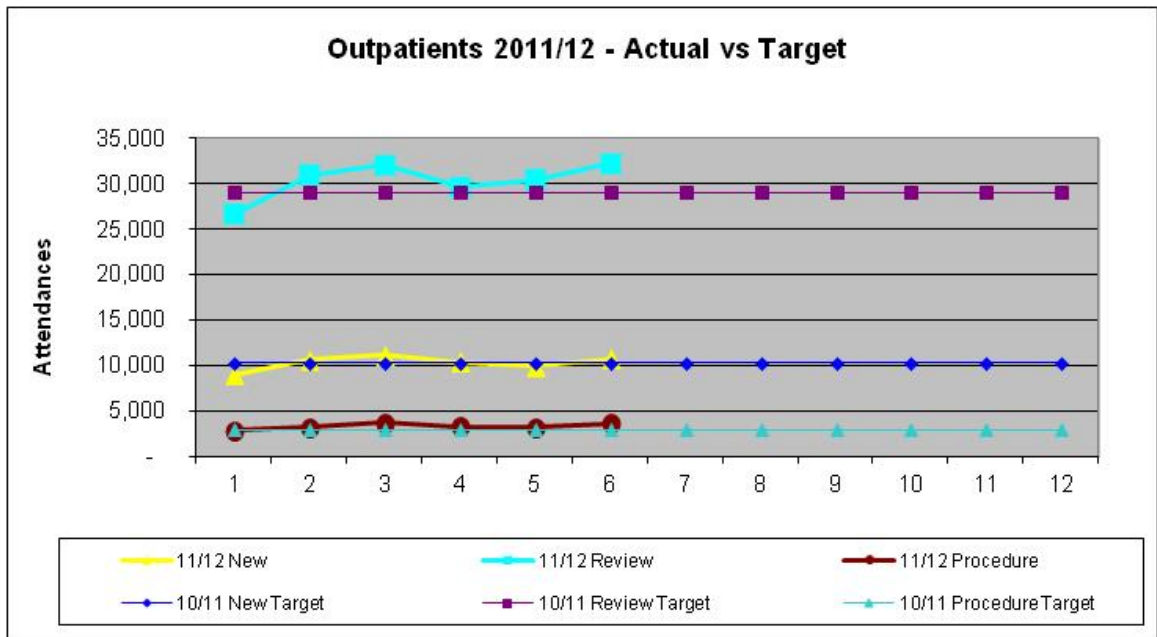


Table 5 below shows the variance by Division and Point of Delivery against the 2011/12 healthcare income targets. This shows a continued improvement against the previously reported position with healthcare income (excluding cost per case) ahead of plan by £3.477m for the first six months of the financial year. This position includes credit note provisions based on an assessment of commissioner queries raised to date. However, further payment risks may arise should new queries be issued or the Trust fail to achieve any of its contractual targets. A detailed summary of activity performance against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	180	(1,015)	(166)	1,381	381
Outpatient	(18)	739	689	416	1,827
Other	1,277	(114)	(125)	212	1,270
Total	1,439	(389)	398	2,029	3,477

Note - may include rounding differences

3.3

Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£7.5m) against budgeted expenditure of £301.1m for the period. The main components include cost per case drugs and devices (£2.2m) and pay overspends (£3.0m).

Table 6 – Expenditure against plan

	Budget Apr-Oct 2011 £m	Actual Apr-Oct 2011 £m	Variance £m
PAY			
Medical Staff	53.3	55.1	(1.8)
Nursing	56.6	60.3	(3.7)
Scientific & Technical	22.5	22.3	0.2
SMP / A&C	29.9	30.1	(0.2)
Other / Pay Reserves	10.3	7.8	2.5
Total Pay	172.6	175.6	(3.0)
NON PAY			
Drugs	33.8	35.2	(1.4)
Clinical Supplies & Services	40.4	41.2	(0.8)
Other / Non-Pay Reserves	54.3	56.6	(2.3)
Total Non Pay	128.5	133.0	(4.5)
GRAND TOTAL	301.1	308.6	(7.5)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2011/12 Financial Plan includes a total efficiency savings target of £18.8m. Progress against delivering this is reported to the Board of Directors each quarter. Total CIP slippage reported at the end of quarter 2 was (£1.410m), meaning that delivery of CIP schemes had improved to 85% (up from 75% at the end of quarter 1). The current forecast is for 91% of the CIP plan to be achieved by 31 March 2012.

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan				Y/E Forecast
	Q1	Q2	Q3	Q4	
Division A	(94)	(203)			(334)
Division B	(199)	(211)			(319)
Division C	(160)	(159)			93
Division D	(187)	(486)			(475)
Corporate & NHP	(43)	(198)			(344)
Single Site Savings	(287)	(154)			(307)
Total	(970)	(1410)			(1686)
% Achieved	70%	85%			91%

4. Divisional Analysis

Divisional budgets (excluding healthcare income) recorded an adverse variance of (£4.550m) for the first seven months of the financial year, including £3.418m of additional healthcare income.

Table 8 – Analysis of year to date variances by Division

	Income £'000	Expenditure £'000	Total £'000	Year End Forecast £'000
Division A	251	(3,197)	(2,946)	(2,973)
Division B	1,069	(2,819)	(1,750)	(1,933)
Division C	(18)	(2,356)	(2,374)	(2,964)
Division D	(787)	(803)	(1,590)	(1,357)
Division E	2,482	(1,790)	692	1,074
Sub - Total	2,997	(10,965)	(7,968)	(8,153)
Healthcare Income	3,418	0	3,418	6,090
TOTAL	6,415	(10,965)	(4,550)	(2,063)
<i>Memo General Contingency Reserve</i>			<i>1,091</i>	<i>1871</i>

Note - may include rounding differences

The total divisional overspend in October was (£722,000) excluding healthcare over-performance income. The largest element of this remains attributable to nursing (including Theatre ODP's) which accounts for (£348,000). The majority of this is attributable to continued pressures across the in-patient wards (£186,000) with approximately (£50,000) due to the unfunded beds on Wards 302, 306, 408 and 726 and (£31,000) due to additional nursing for specific patients ('specials') on the fifth floor wards. The remainder of the ward overspend relates to a combination of sickness and vacancy cover, over-establishments and skill mix pressures compared to the generic workforce plan. Other nursing pressures include Theatres (£34,000), Critical Care (£68,000), CDU (£27,000) and outpatients (£27,000) reflecting a combination of high activity levels, sickness and vacancies.

The aggregate medical staffing over spend in October was (£279,000). Of this (£110,000) relates to junior doctors representing an improvement of £72,000 against the average monthly overspend recorded during the first half of the year (£182,000). Although recruitment has improved markedly, locum costs remain in excess of the funded vacancies in a few key areas including A&E (£46,000), Anaesthetics (£25,000) and Cardiac Surgery (£34,000). The balance of the medical overspend (£169,000) relates to senior medical staff

with additional payments for covering leave and vacancies in Anaesthetics and Critical Care (£40,000) and additional lists in a number of specialties including Livers, Ophthalmology and Cardiac Surgery.

The other significant overspend during the month was Theatres non-pay (£144,000). This can largely be attributed to increased activity with Galaxy data showing that surgical procedures were 12% above the funded baseline. On a positive note variable income was buoyant during October with benefits including £89,000 related to the Injury Costs Recovery Scheme and £96,000 related to Imaging SLA's. Private Patient income was above plan across Division B including £69,000 in Cardiac Surgery, £44,000 in Cardiology and £67,000 in Livers. These gains were partially offset by an under recovery of Private Patient income across Division D with the shortfalls in Neurosurgery, Oncology and Haematology totalling (£121,000) in the month.

5. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) shows the value of Trust assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance or equity. Table 9 summarises the Trust's Statement of Financial Position at 31 October 2011.

Table 9 – Statement of Financial Position

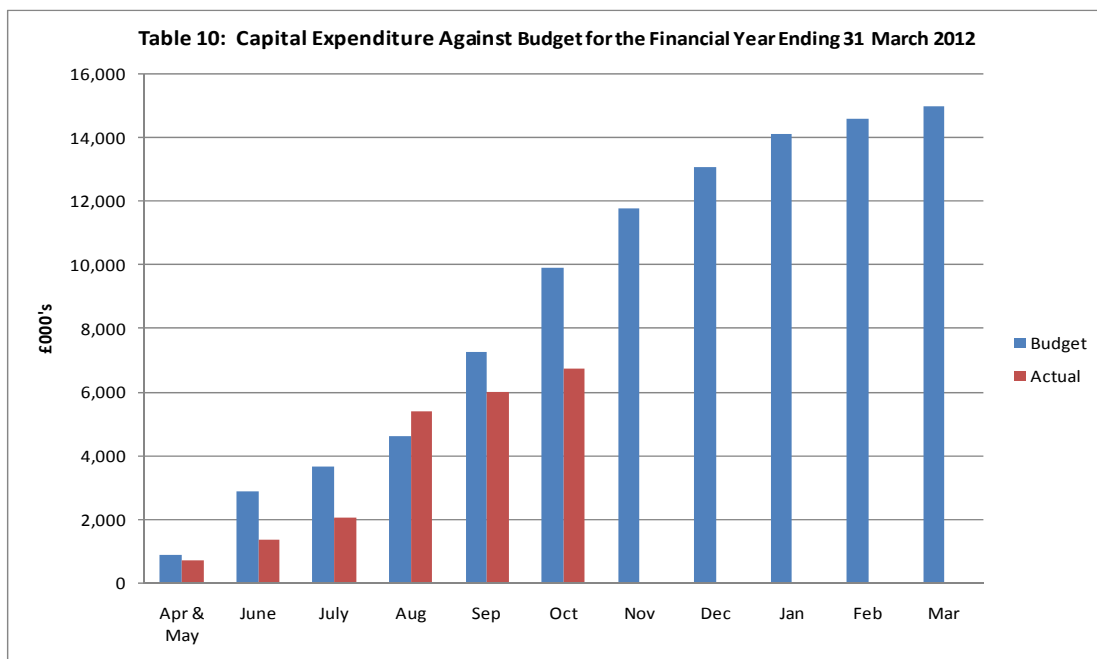
	Audited Mar 2011 £m	Actual Oct 2011 £m	YTD Plan Oct 2011 £m	Annual Plan Mar 2012 £m
Non Current Assets:				
Property, Plant and Equipment	437.0	496.3	497.4	497.7
Intangible Assets	1.0	0.9	1.0	1.0
Trade and Other Receivables	2.8	2.9	2.9	2.9
Other Assets	0.3	0.3	0.0	0.0
Total Non Current Assets	441.1	500.4	501.3	501.6
Current Assets:				
Inventories	12.8	13.8	12.3	12.0
Trade and Other Receivables	50.7	18.1	26.0	29.7
Other Financial Assets	1.1	11.2	12.7	1.5
Other Current Assets	2.2	7.9	5.9	4.0
Cash	62.0	74.0	54.0	55.0
Total Current Assets	128.8	125.0	110.9	102.2
Current Liabilities:				
Trade and Other Payables	64.5	63.6	59.4	61.0
Borrowings	10.9	12.4	12.4	12.3
Provisions	3.3	3.2	3.3	3.3
Tax Payable	6.3	6.5	6.3	6.3
Other Liabilities	26.9	29.2	19.2	13.7
Total Current Liabilities	111.9	114.9	100.6	96.6
Non Current Liabilities:				
Borrowings	447.9	551.0	551.1	546.0
Provisions	1.7	1.7	1.7	1.7
Other Liabilities	41.3	41.3	42.9	42.9
Total Non Current Liabilities	490.9	594.0	595.7	590.6
TOTAL ASSETS EMPLOYED	(32.9)	(83.5)	(84.1)	(83.4)
Financed by:				
Public Dividend Capital	171.0	171.0	171.0	171.0
Income & Expenditure Reserve	(316.5)	(368.6)	(367.2)	(368.6)
Donated Asset Reserve	8.7	10.2	8.2	10.3
Revaluation Reserve	103.9	103.9	103.9	103.9
TOTAL TAXPAYERS EQUITY	(32.9)	(83.5)	(84.1)	(83.4)

Note - may include rounding differences

6. Capital Programme

The approved Capital Programme for 2011/12 is £15.0m, although actual full year expenditure is now expected to be around £12.0m. Expenditure for the year to date is £6.7m, which is £3.2m below the year to date plan. Expenditure during October was £0.7m which included £0.2m for ophthalmology equipment, £0.2m for Tomotherapy and £0.2m for equipping the short stay surgical unit. A summary of expenditure against major schemes is provided in Appendix D.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

The value of current assets (excluding inventories and cash) due within one year was £41.9m at 31 October 2011. Of this, £16.6m relates to Trade Receivables i.e. unpaid invoices raised by the Trust. Analysis of this debt by age is included in Appendix E and summarised in table 12 over the page.

Over 90 day debt at 31 October 2011 was £6.5m, a £0.4m increase on the previous month. The largest element of this relates to NHS healthcare debtors (commissioners) which accounts for £2.8m. Most 2010/11 balances have now been settled with the only significant amounts outstanding being South Birmingham (£0.9m), Sandwell (£0.3m), Shropshire (£0.3m), Hereford (£0.1m) and Warwickshire (£0.1m). The balance (£1.1m) relates primarily to Q1 over-performance charges.

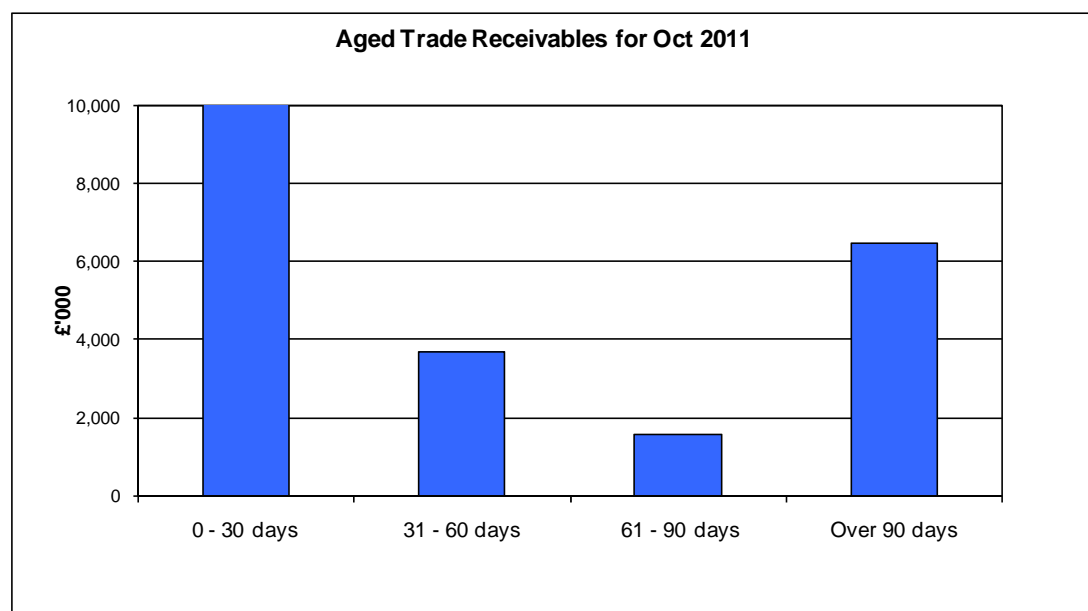
The other main component of over 90 day debt relates to SLA charges to other NHS providers (£2.0m) including Birmingham Women's Hospital NHS FT (£0.4m), Birmingham Children's NHS FT (£0.3m), Sandwell & West Birmingham NHS Trust (£0.3m), Heart of England FT (£0.2m) and Birmingham & Solihull Mental Health FT (£0.2m). These balances arise due to slow payment and are typically backed by equivalent creditor balances so there is little adverse impact on cash flow.

The remaining balance outstanding at 31 October 2011 included (£0.7m) to Birmingham City Council in respect of delayed discharges. This has subsequently been paid in first week of November.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Oct 2011 £m	Forecast Oct 2011 £m
Trade Receivables	16.6	24.7
Bad Debt Provision	-1.6	-2.3
Other Receivables	3.1	3.6
Trade and Other Receivables	18.1	26.0
Accrued Income	11.2	12.7
Other Financial Assets	11.2	12.7
Prepayments	7.9	5.9
Deferred Asset	0.0	0.0
Other Current Assets	7.9	5.9
TOTAL	37.2	44.6

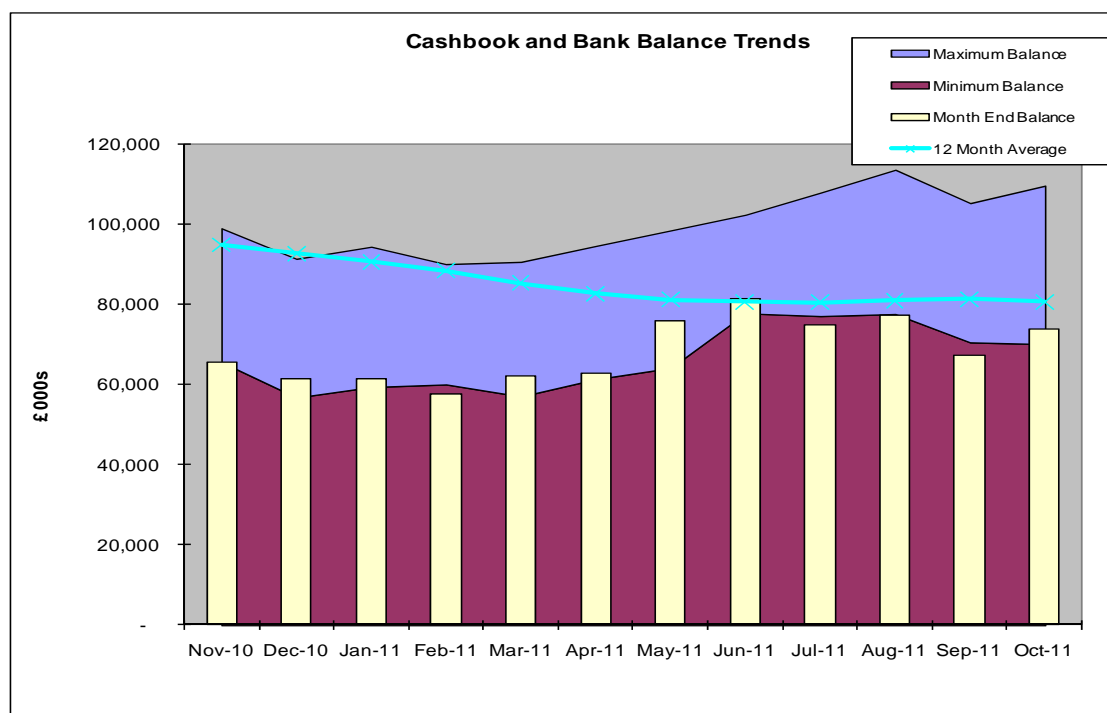
Table 12 – Aged Debt Analysis of Trade Receivables due within One Year



8. Cash Flow

A cash flow statement is included as Appendix F, this analyses actual and forecast cash received and paid out. Table 13 below shows the cash balance at month end along with the minimum and maximum daily bank balance in the month and a twelve month rolling average daily bank balance. The actual cashbook balance at 31 October 2011 was £74.0m, ahead of plan by £20.0m. This is primarily due to favourable movements in working capital with debtors below plan and creditors above plan, along with the under spend against the capital programme. Table 13 below shows the twelve month rolling average bank balance reducing over the period as planned.

Table 13 – Cash Flow



9. Working Capital Facility

The Trust has a working capital facility of £30.0m which is in line with the 2011/12 Annual Plan submitted to Monitor. This facility has been approved by Monitor and is necessary to meet the Monitor's liquidity ratio requirements. This overdraft facility has not been used and there are no plans to call on the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

The PFI new hospital is incorporated into the Trust's Prudential Borrowing Code as the agreement is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - Mar 2012	Actual - Oct 2011
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	2.2	2.3
Minimum Debt Service Cover	> 1.5	1.3	1.4
Maximum Debt Service to Revenue	< 10%	0.1	4.7%

The first three ratios compare how many times the Trust's income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan respectively (debt

service is the sum of interest paid and the capital repayment of the loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limit for Minimum Debt Service cover ratio with a ratio of 1.3 (against a target of 1.5). Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example, but not limited to, a major renovation or redevelopment programme). This does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit is £564.5m.

10.2 Financial Risk Rating

The Financial Risk Rating (FRR) remains at 3 based on the Trust's 2011/12 Annual Plan, the latest results published by Monitor (Quarter 1) and self assessment of Quarter 2. Current financial performance indicates that this rating should be maintained throughout the remainder of the 2011/12 financial year. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. **Conclusion**

The Trust has reported a £0.467m income and expenditure surplus for the first seven months of the 2011/12 financial year. This represents an adverse variance of (£0.133m) against plan. The reported position includes an aggregate overspend of (£4.550m) across operational divisions after recognition of £3.418m of healthcare over performance income. Action plans have been developed by Divisions to reduce the rate of monthly overspend and these are now starting to deliver.

This position excludes transition costs of (£3.484m) and impairment losses of (£49.090m) in relation to the opening of the final phases of the new hospital. Including these items, the overall deficit at 31 October 2011 is (£52.077m).

12. **Recommendations**

The Board of Directors is asked to:

- Receive the contents of this report



Mike Sexton
Director of Finance
15 November 2011

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING **31 OCTOBER 2011**
STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY EXECUTIVE DIRECTOR

APPENDIX A

BUDGET £'000	PERIOD		BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
531	500	(31)	CHIEF EXECUTIVE	Julie Moore	2,725	2,767	42	4,374	4,374	0
(1,005)	(984)	21			(6,377)	(6,323)	54	(10,753)	(10,753)	0
(450)	(452)	(2)			(2,734)	(2,823)	(89)	(4,363)	(4,363)	0
(924)	(936)	(12)			(6,386)	(6,379)	7	(10,742)	(10,742)	0
(300)	0	300	RESERVES	Julie Moore	(3,400)	0	3,400	(7,604)	(7,412)	192
(156)	0	156			(1,091)	0	1,091	(1,871)	0	1,871
(456)	0	456			(4,491)	0	4,491	(9,475)	(7,412)	2,063
500	534	34	DIRECTOR OF FINANCE	Mike Sexton	5,246	5,527	281	7,903	7,903	0
(584)	(630)	(46)			(4,190)	(4,348)	(158)	(7,089)	(7,089)	0
(307)	(318)	(11)			(2,230)	(2,434)	(204)	(3,755)	(3,755)	0
(391)	(414)	(23)			(1,174)	(1,255)	(81)	(2,941)	(2,941)	0
128	104	(24)	CHIEF NURSE	Kay Fawcett	1,205	1,114	(91)	2,151	2,151	0
(1,347)	(1,328)	19			(9,471)	(9,648)	(177)	(16,176)	(16,176)	0
(292)	(324)	(32)			(2,164)	(2,077)	87	(3,999)	(3,999)	0
(1,511)	(1,548)	(37)			(10,430)	(10,611)	(181)	(18,024)	(18,024)	0
39,015	39,308	293	CHIEF OPERATING OFFICER	Kevin Bolger	271,246	277,661	6,415	467,870	474,866	6,996
(19,314)	(19,981)	(667)			(135,406)	(142,002)	(6,596)	(231,473)	(238,029)	(6,556)
(12,310)	(12,365)	(55)			(89,043)	(93,412)	(4,369)	(149,515)	(152,018)	(2,503)
7,391	6,962	(429)			46,797	42,247	(4,550)	86,882	84,819	(2,063)
3,068	3,125	57	DIRECTOR OF DELIVERY	Tim Jones	23,010	23,109	99	37,328	37,328	0
(749)	(791)	(42)			(5,394)	(5,372)	22	(9,796)	(9,796)	0
(1,163)	(1,169)	(6)			(7,454)	(7,555)	(101)	(13,042)	(13,042)	0
1,156	1,165	9			10,162	10,182	20	14,490	14,490	0
1,352	1,387	35	NEW HOSPITAL DIRECTOR	Morag Jackson	9,306	9,737	431	16,090	16,090	0
(252)	(253)	(1)			(1,663)	(1,690)	(27)	(2,753)	(2,753)	0
(5,177)	(5,213)	(36)			(33,418)	(33,826)	(408)	(59,513)	(59,513)	0
(4,077)	(4,079)	(2)			(25,775)	(25,779)	(4)	(46,176)	(46,176)	0
1,485	1,306	(179)	MEDICAL DIRECTOR	Dr David Rosser	9,604	9,613	9	16,114	16,114	0
(1,020)	(860)	160			(6,316)	(6,186)	130	(10,716)	(10,716)	0
(353)	(336)	17			(1,591)	(1,740)	(149)	(2,112)	(2,112)	0
112	110	(2)			1,697	1,687	(10)	3,286	3,286	0
123	213	90	CAPITAL CHARGES		858	1,108	250	1,470	1,470	0
0	0	0			0	0	0	0	0	0
(1,523)	(1,521)	2			(10,658)	(10,733)	(75)	(18,270)	(18,270)	0
(1,400)	(1,308)	92			(9,800)	(9,625)	175	(16,800)	(16,800)	0
46,202	46,477	275	TOTAL		323,200	330,636	7,436	553,300	560,296	6,996
(24,595)	(24,827)	(232)			(172,600)	(175,569)	(2,969)	(295,200)	(297,383)	(2,183)
(21,707)	(21,698)	9			(150,000)	(154,600)	(4,600)	(257,600)	(262,413)	(4,813)
(100)	(48)	52			600	467	(133)	500	500	0
(20,000)	(19,936)	64			(49,100)	(49,060)	40	(49,100)	(49,100)	0
(292)	(402)	(110)			(2,042)	(3,484)	(1,442)	(3,500)	(3,500)	0
(20,392)	(20,386)	6			(50,542)	(52,077)	(1,535)	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING **31 OCTOBER 2011**
STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER

APPENDIX B

PERIOD			BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
36,332	36,704	372	HEALTHCARE INCOME	DIRECT INCOME	256,920	260,338	3,418	440,473	446,563	6,090
0	0	0		DIRECT EXP PAY	0	0	0	0	0	0
0	0	0		NON PAY	0	0	0	0	0	0
36,332	36,704	372		TOTAL	256,920	260,338	3,418	440,473	446,563	6,090
799	906	107	DIVISION A	DIRECT INCOME	5,717	5,968	251	9,832	10,017	185
(7,142)	(7,276)	(134)		DIRECT EXP PAY	(50,124)	(51,529)	(1,405)	(85,915)	(87,564)	(1,649)
(2,662)	(2,997)	(335)		NON PAY	(18,443)	(20,235)	(1,792)	(32,249)	(33,758)	(1,509)
(9,005)	(9,367)	(362)		TOTAL	(62,850)	(65,796)	(2,946)	(108,332)	(111,305)	(2,973)
490	656	166	DIVISION B	DIRECT INCOME	3,493	4,562	1,069	5,148	6,613	1,465
(3,768)	(3,971)	(203)		DIRECT EXP PAY	(26,754)	(28,368)	(1,614)	(45,358)	(47,135)	(1,777)
(3,101)	(3,180)	(79)		NON PAY	(22,903)	(24,108)	(1,205)	(39,559)	(41,180)	(1,621)
(6,379)	(6,495)	(116)		TOTAL	(46,164)	(47,914)	(1,750)	(79,769)	(81,702)	(1,933)
692	782	90	DIVISION C	DIRECT INCOME	4,882	4,864	(18)	6,812	6,814	2
(3,847)	(3,999)	(152)		DIRECT EXP PAY	(25,711)	(26,873)	(1,162)	(44,065)	(45,436)	(1,371)
(2,810)	(2,977)	(167)		NON PAY	(18,842)	(20,036)	(1,194)	(31,293)	(32,888)	(1,595)
(5,965)	(6,194)	(229)		TOTAL	(39,671)	(42,045)	(2,374)	(68,546)	(71,510)	(2,964)
362	241	(121)	DIVISION D	DIRECT INCOME	2,535	1,748	(787)	4,389	3,538	(851)
(4,286)	(4,515)	(229)		DIRECT EXP PAY	(29,824)	(31,332)	(1,508)	(50,959)	(52,718)	(1,759)
(3,534)	(3,389)	145		NON PAY	(26,256)	(25,551)	705	(43,818)	(42,565)	1,253
(7,458)	(7,663)	(205)		TOTAL	(53,545)	(55,135)	(1,590)	(90,388)	(91,745)	(1,357)
340	19	(321)	CHIEF OPERATING OFFICER	DIRECT INCOME	(2,301)	181	2,482	1,216	1,321	105
(271)	(220)	51		DIRECT EXP PAY	(2,993)	(3,900)	(907)	(5,176)	(5,176)	0
(203)	178	381		NON PAY	(2,599)	(3,482)	(883)	(2,596)	(1,627)	969
(134)	(23)	111		TOTAL	(7,893)	(7,201)	692	(6,556)	(5,482)	1,074
39,015	39,308	293	TOTAL OPERATIONAL DIVISIONS	INCOME	271,246	277,661	6,415	467,870	474,866	6,996
(19,314)	(19,981)	(667)		PAY	(135,406)	(142,002)	(6,596)	(231,473)	(238,029)	(6,556)
(12,310)	(12,365)	(55)		NON PAY	(89,043)	(93,412)	(4,369)	(149,515)	(152,018)	(2,503)
7,391	6,962	(429)		TOTAL SURPLUS / (DEFICIT)	46,797	42,247	(4,550)	86,882	84,819	(2,063)

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CONSOLIDATED INCOME STATEMENT**

31 OCTOBER 2011

APPENDIX C

	Period To date			Forecast Out-turn		
	Budget	Actual	Variance	Budget	Actual	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
Income						
-Clinical - NHS	252,800	258,684	5,884	433,500	438,500	5,000
-Clinical - Non NHS	7,600	6,562	(1,039)	13,000	12,000	(1,000)
-Other	62,450	64,856	2,406	107,200	110,196	2,996
TOTAL INCOME	322,850	330,102	7,252	553,701	560,697	6,996
Operating Expenses						
-Pay Costs	(172,600)	(175,569)	(2,969)	(295,200)	(297,383)	(2,183)
-Non Pay	(128,497)	(132,976)	(4,479)	(220,844)	(225,657)	(4,813)
-Depreciation	(10,617)	(10,738)	(121)	(18,200)	(18,200)	0
TOTAL EXPENDITURE	(311,714)	(319,282)	(7,569)	(534,244)	(541,240)	(6,996)
OPERATING SURPLUS	11,136	10,819	(317)	19,457	19,457	0
Interest Receivable	350	534	184	600	600	0
Interest Payable	(9,723)	(9,723)	(0)	(17,452)	(17,452)	0
Contingent Rental	(1,163)	(1,163)	0	(2,105)	(2,105)	0
PDC Dividends Payable	0	0	0	0	0	0
SURPLUS FOR THE FINANCIAL YEAR	600	467	(133)	500	500	0
Transition Costs	(2,042)	(3,484)	(1,443)	(3,500)	(3,500)	0
Impairments on Property	(49,100)	(49,060)	40	(49,100)	(49,100)	0
RETAINED SURPLUS FOR THE YEAR	(50,542)	(52,077)	(1,535)	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 CAPITAL PROGRAMME (NHS EXPENDITURE) 31 OCTOBER 2011

APPENDIX D

BUDGET £'000	PERIOD		SCHEME	TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
25	0	25	Bfwd Schemes 10-11	255	153	102	430	330	100
1,600	182	1,418	Tomotherapy	3,400	3,635	(235)	4,200	3,900	300
125	(18)	143	IT Strategy and Infrastructure 11/12	925	222	703	1,295	1,295	0
25	19	6	Chief Operating Officer Discretionary Capital	125	19	106	300	300	0
200	326	(126)	Equipment Replacement	1,605	1,122	483	2,300	2,100	200
50	119		Equipment Other	1,283	1,280	3	1,783	1,783	0
495	91	404	Retained Estate & Plaza	1,725	170	1,555	3,875	1,825	2,050
150	0	150	New Hospital	875	140	735	1,309	809	500
(42)	0	(42)	Slippage	(292)	0	(292)	(500)	(500)	0
2,628	719	1,978	Capital Programme	9,901	6,741	3,160	14,992	11,842	3,150

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
AGED ANALYSIS OF TRADE RECEIVABLES

31 OCTOBER 2011

APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
MAY	21,503,128.15 100%	2085	7,315,316.15 34%	2,098,814.18 10%	8,061,704.21 37%	4,027,293.61 19%
JUNE	21,225,822.04 100%	1992	9,406,316.88 44%	3,381,318.73 16%	722,285.79 3%	7,715,900.64 36%
JULY	32,166,841.13 100%	2681	18,605,732.35 58%	3,965,388.28 12%	2,683,226.69 8%	6,912,493.81 21%
AUG	27,336,412.92 100%	2631	9,789,188.45 36%	8,366,072.60 31%	1,629,856.94 6%	7,551,294.93 28%
SEP	20,906,731.49 100%	2906	9,639,868.97 46%	2,283,867.80 11%	2,872,378.98 14%	6,110,615.74 29%
OCT	21,818,058.46 100%	3128	10,083,453.71 46%	3,675,971.61 17%	1,579,743.78 7%	6,478,889.36 30%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
31 OCTOBER 2011
CASHFLOW FORECAST 2011/12
APPENDIX F

	Apr F/Cast £000's	Apr Actual £000's	May F/Cast £000's	May Actual £000's	June F/Cast £000's	June Actual £000's	July F/Cast £000's	July Actual £000's	Aug F/Cast £000's	Aug Actual £000's	Sep F/Cast £000's	Sep Actual £000's	Oct F/Cast £000's	Oct Actual £000's	Nov F/Cast £000's	Dec F/Cast £000's	Jan F/Cast £000's	Feb F/Cast £000's	Mar F/Cast £000's	
INCOME																				
Contract Income Protected	36,100	38,240	36,100	49,682	36,100	41,542	36,133	42,359	36,133	44,982	36,134	48,527	36,133	42,518	36,133	36,134	36,133	36,133	36,133	36,134
Non Protected	1,066	83	1,067	167	1,067	296	1,100	111	1,100	356	1,100	174	1,066	137	1,067	1,067	1,100	1,100	1,100	1,100
Other Income	8,866	10,916	8,866	8,366	8,868	9,502	8,866	5,961	8,866	6,017	8,868	8,032	8,866	12,768	8,866	8,868	8,866	8,866	8,866	8,868
Interest Received	33	16	33	12	34	13	67	76	67	15	66	13	33	85	33	34	67	66	66	67
Total Received	46,065	49,255	46,066	58,228	46,069	51,353	46,166	48,507	46,166	51,370	46,168	56,746	46,098	55,507	46,099	46,103	46,166	46,165	46,169	
EXPENDITURE																				
Pay	24,700	27,687	24,700	24,174	24,700	24,231	24,633	23,921	24,633	24,457	24,634	27,911	24,600	24,074	24,600	24,600	24,466	24,467	24,467	24,467
Non Pay	16,433	16,574	16,433	16,260	16,434	15,600	16,366	25,866	16,367	19,023	16,367	31,156	16,366	18,634	16,367	16,367	16,366	16,367	16,367	17,555
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	131	0	0	0	0	0	0	0	0
PFI - Specific	1,600	1,441	1,600	1,466	1,600	2,486	1,700	1,962	1,700	1,956	1,700	1,893	1,800	2,002	1,800	1,800	1,766	1,767	1,767	1,767
Capital	966	427	967	497	967	757	1,466	498	1,467	747	1,467	3,231	1,933	1,020	1,933	1,934	633	633	633	3,034
Total Payments	43,699	46,129	43,700	42,398	43,701	43,074	44,165	52,246	44,167	46,183	44,168	64,322	44,699	45,730	44,700	44,701	43,231	43,234	46,823	
Net Inflow(outflow)	2,366	3,127	2,366	15,830	2,368	8,279	2,001	(3,740)	1,999	5,187	2,000	(7,576)	1,399	9,778	1,399	1,402	2,935	2,931	(654)	
FINANCING																				
Interest Expense on PFI Scheme	(1,266)	(1,273)	(1,267)	(1,273)	(1,267)	(1,242)	(1,466)	(1,470)	(1,467)	(1,470)	(1,467)	(1,423)	(1,566)	(1,572)	(1,567)	(1,567)	(1,533)	(1,533)	(1,534)	(1,534)
Capital PFI	(800)	(795)	(800)	(795)	(800)	(776)	(733)	(1,019)	(733)	(623)	(734)	(603)	(966)	(1,074)	(967)	(967)	(1,133)	(1,133)	(1,134)	(1,134)
PFI - Variations	0	0	0	(140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Restructuring Costs	(300)	(293)	(300)	(280)	(300)	(818)	(300)	(525)	(300)	(573)	(300)	(591)	(300)	(404)	(300)	(300)	(300)	(300)	(300)	(200)
Movement on Other Grants/Capital	0	0	0	0	0	0	0	94	0	0	0	0	0	0	0	0	0	0	0	2,400
CASH BALANCES																				
Opening balance	61,988	61,988	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	67,207	59,056	57,620	56,188	56,158	56,123	56,123
Bank balances c/f	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	67,207	59,056	73,934	57,620	56,188	56,158	56,123	56,123	55,000
Cash Held/Agency Bal Pharmacy@QEHB Ltd		25		23		24		24		24		23		24						82
Total	62,009	62,779	61,987	76,119	61,988	81,563	61,490	74,903	60,989	77,424	60,488	67,230	59,056	74,040	57,620	56,188	56,158	56,123	56,123	55,000

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	0	13	2	7	2	-6
Interventional Radiology	0	0	325	0	325	0
Rehabilitation	15	13	8	3	-7	-10
	15	26	335	10	321	-16
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	220	1	245	1	25	0
Cardiology	1,577	639	1,334	680	-243	41
Cardiothoracic Surgery	334	136	296	166	-38	30
Cardiothoracic Transplantation	0	0	2	1	2	1
Colorectal Surgery	1,118	846	1,134	141	16	-705
Gastroenterology	2,487	165	2,222	153	-265	-12
General Surgery	1,325	1,087	1,477	2,027	152	941
Hepatobiliary & Pancreatic Surgery	428	146	487	123	59	-23
Hepatology	564	122	624	113	60	-9
Liver Transplantation	0	0	0	0	0	0
Nephrology	508	556	392	652	-116	96
Transplantation Surgery	3	21	1	29	-2	8
Upper Gastrointestinal Surgery	64	1	95	1	31	0
Vascular Surgery	545	89	527	86	-18	-3
	9,171	3,808	8,836	4,173	-335	366
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	3	570	0	415	-3	-155
Dermatology	313	10	465	10	152	1
Diabetic Medicine	455	0	432	0	-23	0
Endocrinology	336	32	380	5	44	-27
General Medicine	125	7,457	128	8,453	3	997
Genitourinary Medicine	5	10	3	5	-2	-5
Geriatric Medicine	2	508	1	452	-1	-56
Ophthalmology	1,957	8	1,407	7	-550	-1
Infectious Diseases	0	3	0	1	0	-2
Pain Management	773	2	748	1	-25	-1
Respiratory Medicine	53	255	567	181	515	-74
Rheumatology	383	4	325	0	-58	-4
	4,403	8,856	4,456	9,530	53	674
<u>Specialty Group: 4 - Division D</u>						
Blood and Marrow Transplantation	5	2	8	1	3	-1
Burns care	1	4	2	37	2	33
Clinical Oncology	495	393	517	360	23	-33
Clinical Haematology	1,679	343	1,798	334	119	-9
ENT	827	230	943	216	116	-14
Maxillo-facial surgery	542	283	580	324	38	41
Medical Oncology	299	258	304	262	5	5
Neurology	882	102	1,245	100	363	-2
Neurosurgery	1,410	684	1,116	748	-294	65
Plastic Surgery	1,372	313	1,455	318	83	5
Trauma & Orthopaedics	1,278	1,072	1,234	1,251	-44	180
Urology	1,336	519	1,243	234	-93	-285
	10,126	4,201	10,445	4,185	319	-16
<u>Summary: Services Under PbR</u>	23,715	16,890	24,072	17,898	357	1,008

Specialty	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Anaesthetics	0	0	0	0	0	0
Critical Care Medicine	0	1	0	0	0	-1
Interventional Radiology	0	0	6	0	6	0
Rehabilitation	1	0	0	0	-1	0
	1	1	6	0	6	-1
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	1	0	4	0	3	0
Cardiology	32	16	40	45	9	29
Cardiac MRI	63	0	82	0	20	0
Cardiothoracic Surgery	64	3	87	3	23	1
Cardiothoracic Transplantation	10	6	0	2	-10	-4
Colorectal Surgery	29	1	22	0	-7	-1
Gastroenterology	28	2	16	1	-12	-1
General Surgery	106	2	127	3	22	1
Hepatobiliary & Pancreatic Surgery	25	7	89	3	64	-4
Hepatology	27	23	28	29	2	7
Liver Transplantation	6	2	0	0	-6	-2
Nephrology	32	4	26	5	-6	1
Renal Surgery	0	0	0	0	0	0
Renal Transplantation	75	11	67	8	-8	-3
Transplant Surgery	0	0	0	0	0	0
Upper Gastrointestinal Surgery	2	0	1	0	-1	0
Vascular Surgery	29	0	30	0	2	0
	525	74	619	99	95	25
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	18	0	27	0	9
Dermatology	19	0	17	0	-2	0
Diabetic Medicine	0	0	0	0	0	0
Endocrinology	3	1	6	0	4	-1
General Medicine	3	236	1	243	-2	7
Geriatric Medicine	0	11	0	3	0	-8
Genitourinary Medicine	0	0	1	0	1	0
Infectious Diseases	0	0	0	0	0	0
Ophthalmology	34	0	24	0	-10	0
Pain Management	25	0	29	0	5	0
Respiratory Medicine	1	5	3	2	3	-3
Rheumatology	7	0	6	0	-1	0
	90	270	87	275	-3	5
<u>Specialty Group: 4 - Division D</u>						
Audiology	0	0	0	0	0	0
Bone & Marrow Transplantation	23	0	14	0	-9	0
Blood and Marrow Transplantation	1	0	3	0	2	0
Burns care	5	111	13	194	8	83
Clinical Oncology	13	1	18	1	6	1
Clinical Haematology	4	2	43	2	39	0
ENT	56	0	47	0	-9	0
Maxillo-facial surgery	66	4	71	7	6	4
Medical Oncology	47	19	66	37	20	18
Neurology	12	1	10	1	-2	0
Neurosurgery	128	4	95	1	-33	-3
Plastic Surgery	56	22	65	18	9	-4
Trauma & Orthopaedics	57	10	73	9	17	-1
Urology	93	2	69	0	-24	-2
	558	174	587	270	29	96
<u>Summary: Services at Local Prices</u>						
	1,173	519	1,299	644	127	126

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Chemical Pathology	0	0	27	0	0	23	0	0	-4
Occupational Therapy	0	0	0	0	0	1	0	0	1
Physiotherapy	0	0	267	0	0	141	0	0	-126
Podiatry	0	0	4	0	0	4	0	0	1
Rehabilitation	0	0	1	0	0	2	0	0	2
Speech & Language Therapy	0	0	2	0	0	65	0	0	64
	0	0	299	0	0	236	0	0	-63
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	1,401	2,552	381	1,283	2,246	209	-118	-306	-172
Cardiology	4,811	11,054	1,333	5,369	12,459	1,724	558	1,405	391
Cardiothoracic Surgery	100	916	414	101	901	402	2	-15	-12
Colorectal Surgery	743	678	960	582	828	1,034	-161	150	74
Gastroenterology	1,031	1,821	69	1,109	2,257	188	78	436	120
General Surgery	1,438	3,219	248	1,099	3,499	359	-339	280	112
Hepatobiliary & Pancreatic Surgery	521	1,717	194	581	1,956	310	60	239	116
Hepatology	747	5,260	159	683	5,208	252	-64	-52	94
Nephrology	609	10,791	157	565	11,121	196	-44	330	39
Transplantation Surgery	0	0	2	0	0	55	0	0	54
Upper Gastrointestinal Surgery	176	228	2	168	283	1			
Vascular Surgery	665	1,591	62	738	1,835	101	74	244	40
	12,243	39,826	3,978	12,278	42,593	4,831	43	2,712	854
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	0	0	1	0	0	0	0	0	-1
Diabetic Medicine	279	2,815	33	404	3,532	32	125	717	-1
Dermatology	3,346	6,129	3,614	2,926	5,781	3,914	-420	-348	300
Endocrinology	565	1,772	9	689	2,774	140	124	1,002	131
General Medicine	531	2,831	153	420	3,330	8	-111	499	-145
GenitoUrinary Medicine	14,585	7,091	0	15,178	7,574	0	594	483	0
Geriatric Medicine	320	471	6	292	488	4	-28	17	-2
Ophthalmology	3,527	11,198	706	2,897	11,553	1,774	-630	355	1,068
Pain Management	610	1,002	152	574	1,220	182	-36	218	31
Respiratory Medicine	809	2,665	1	877	2,763	1	68	98	0
Respiratory Physiology	1	0	0	1	0	0	1	0	0
Rheumatology	1,053	4,692	0	837	5,202	0	-216	510	0
Infectious Diseases	0	0	0	19	12	0	19	12	0
	25,625	40,667	4,675	25,114	44,229	6,055	-530	3,550	1,381
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	2	0	0	21	0	0	19
Clinical Oncology	2,950	7,600	6	3,151	7,972	12	201	372	7
ENT	2,178	5,010	3,491	1,986	4,757	3,771	-192	-253	280
Clinical Haematology	725	6,967	1	668	6,775	0	-57	-192	-1
Maxillo-facial surgery	1,773	3,875	758	1,628	3,955	731	-145	80	-27
Medical Oncology	560	5,339	511	541	5,366	182	-19	27	-329
Neurology	0	0	10	54	0	17	54	0	7
Neurosurgery	0	0	2	0	0	4	0	0	2
Plastic Surgery	1,439	5,167	1,173	1,111	5,304	1,294	-328	137	122
Trauma & Orthopaedics	3,245	8,518	402	3,302	9,896	665	57	1,378	263
Urology	1,776	4,050	1,860	1,695	4,777	1,934	-81	727	74
	14,646	46,527	8,214	14,136	48,802	8,631	-510	2,275	417
<u>Summary: Services Under PbR</u>	52,513	127,020	17,165	51,528	135,624	19,753	-996	8,538	2,589

Specialty	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	37	30	0	38	26	0	1	-4	0
Physiotherapy	3,254	15,300	0	2,654	13,217	0	-600	-2,083	0
Podiatry	106	493	0	163	452	2	57	-41	2
Speech & Language Therapy	257	671	0	316	646	0	59	-25	0
Nutrition & Dietetics	748	2,107	0	628	1,633	0	-120	-474	0
Occupational Therapy	145	294	0	167	270	0	22	-24	0
Orthopaedic Triage - Imaging	0	0	0	789	65	0	789	65	0
Rehabilitation	0	0	1	0	4	5	0	4	4
Upper Limb	578	3,492	0	794	4,130	0	216	638	0
	5,124	22,387	1	5,549	20,443	7	425	-1,944	6
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	92	0	0	0	0	0	-92	0	0
Cardiac MRI	766	0	0	1,339	0	0	574	0	0
Cardiology	306	455	275	80	330	0	-226	-125	-275
Cardiothoracic Surgery	0	379	0	1	461	0	1	83	0
Cardiothoracic Transplantation	1	24	0	0	18	0	-1	-6	0
Colorectal Surgery	24	3	1	0	26	0	-24	23	-1
Gastroenterology	60	0	3	0	0	0	-60	0	-3
General Surgery	105	21	0	0	3	0	-105	-18	0
Hepatobiliary & Pancreatic Surgery	17	0	0	0	0	0	-17	0	0
Hepatology	0	226	1	4	191	1	4	-35	1
Liver Transplantation	0	31	0	0	189	0	0	158	0
Nephrology	85	754	0	119	754	0	34	0	0
Renal Transplantation	26	1,191	0	31	974	0	6	-217	0
Upper Gastrointestinal Surgery	12	7	0	13	18	1	1	11	1
Vascular Surgery	69	0	1	0	0	3	-69	0	2
	1,470	3,089	281	1,587	2,964	5	117	-125	-276
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	862	421	0	739	204	0	-123	-217	0
Dermatology	0	133	1	0	0	12	0	-133	12
Diabetic Medicine	0	920	0	0	968	0	0	49	0
General Medicine	0	253	0	0	119	0	0	-134	0
Geriatric Medicine	1	0	0	0	0	0	-1	0	0
Genitourinary Medicine	9	6,317	0	139	6,369	0	131	52	0
Ophthalmology	415	0	0	1	0	0	-414	0	0
Pain Management	5	0	0	5	2	0	0	2	0
Respiratory Medicine	0	100	1	0	0	0	0	-100	-1
Rheumatology	21	306	1	13	314	2	-8	8	1
	1,312	8,449	2	897	7,976	14	-415	-473	12
<u>Specialty Group: 4 - Division D</u>									
Audiology	505	7,082	0	527	7,096	0	22	14	0
Burns care	22	60	0	0	58	0	-22	-2	0
Clinical Oncology	0	5	0	2	0	0	2	-5	0
Clinical Haematology	272	12,021	0	185	12,682	0	-87	662	0
ENT	1	3	6	0	0	7	-1	-3	2
Maxillo-facial surgery	43	1	1	0	0	3	-43	-1	3
Medical Oncology	393	3,292	0	340	3,305	0	-53	13	0
Neurology	3,104	8,690	2	4,452	7,516	4	1,348	-1,174	2
Neurosurgery	2,032	4,028	1	1,570	4,313	1	-462	285	1
Plastic Surgery	79	0	15	0	0	59	-79	0	45
Trauma & Orthopaedics	644	1,830	33	263	1,812	58	-381	-18	25
Urology	115	298	2	174	0	1	59	-298	-1
	7,208	37,307	58	7,513	36,782	133	305	-525	75
<u>Summary: Services at Local Prices</u>	15,113	71,233	341	15,546	68,165	159	433	-3,068	-182

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	20,275	21866	1,591	108%	15,595	16574	979	106%	35,870	38,440	2,570	107%
	NON-TARIFF	949	1085	136	114%	346	398	53	115%	1,295	1,483	189	115%
TOTAL WEST MIDLANDS PCTS	TOTAL	21,224	22,951	1,727	108%	15,941	16,972	1,032	106%	37,165	39,923	2,758	107%
WMSCT	MAND	1,767	1450	- 317	82%	903	925	23	102%	2,670	2,375	- 295	89%
	NON-TARIFF	159	130	- 29	82%	129	157	29	122%	287	287	-	100%
TOTAL WEST MIDLANDS SPECIALISED TEAM	TOTAL	1,926	1,580	- 346	82%	1,031	1,082	51	105%	2,957	2,662	- 295	90%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	1,570	682	- 888	43%	279	293	15	105%	1,849	975	- 874	53%
	NON-TARIFF	56	70	14	125%	36	85	50	239%	92	155	64	169%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	1,626	752	- 874	46%	314	14	64	4%	1,940	766	- 1,174	39%
NON-CONTRACTED ACTIVITY	MAND	103	74	- 29	72%	114	106	- 8	93%	217	180	- 37	83%
	NON-TARIFF	9	14	5	156%	9	4	- 5	44%	18	18	-	100%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	112	88	- 24	79%	123	110	- 13	89%	235	198	- 37	84%
TOTAL MANDATORY	MAND	23,715	24,072	357	102%	16,890	17,898	1,008	106%	40,605	41,970	1,365	103%
TOTAL NON-MANDATORY	NON-TARIFF	1,173	1,299	127	111%	519	644	126	124%	1,691	1,943	252	115%
TOTAL	TOTAL	24,887	25,371	484	102%	17,409	18,542	1,134	107%	42,296	43,913	1,617	104%

		OUTPATIENT ATTENDANCES																
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL				
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		
WEST MIDLANDS PCTS	MAND	50,772	50,316	- 456	99%	121,022	131,013	9,991	108%	16,824	19,388	2,565	115%	188,618	200,717	12,099	106%	
	NON-TARIFF	5,572	8,495	2,924	152%	30,840	32,423	1,583	105%	0	123	123		36,411	41,041	4,630	113%	
TOTAL WEST MIDLANDS PCTS		TOTAL	56,343	58,811	2,468	104%	151,862	163,436	11,574	108%	16,824	19,511	2,688	116%	225,029	241,758	16,729	107%
WMSCT	MAND	93	91	- 2	98%	811	602	- 209	74%	121	4	- 117	3%	1,025	697	- 328	68%	
	NON-TARIFF	2,471	2,049	- 422	83%	13,800	13,253	- 547	96%	0	-	0	0%	16,271	15,302	- 969	94%	
TOTAL WEST MIDLANDS SPECIALISED TEAM		TOTAL	2,564	2,140	- 424	83%	14,611	13,855	- 756	95%	121	4	- 117	3%	17,296	15,999	- 1,297	93%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	1,303	821	- 482	63%	4,454	3,432	- 1,022	77%	168	238	71	142%	5,924	4,491	- 1,433	76%	
	NON-TARIFF	725	206	- 519	28%	1,139	564	- 575	50%	323	22	- 301	7%	2,186	792	- 1,394	36%	
TOTAL ALL OTHER COMMISSIONERS		TOTAL	2,028	1,027	- 1,001	51%	5,592	3,996	- 1,596	71%	490	260	- 230	53%	8,110	5,283	- 2,827	65%
NON-CONTRACTED ACTIVITY	MAND	346	300	- 46	87%	733	577	- 156	79%	53	123	70	232%	1,132	1,000	- 132	88%	
	NON-TARIFF	26	36	11	141%	154	103	- 51	67%	19	14	- 5	76%	198	153	- 45	77%	
TOTAL NON-CONTRACTED ACTIVITY		TOTAL	372	336	- 36	90%	887	680	- 207	77%	72	137	66	192%	1,330	1,153	- 177	87%
TOTAL MANDATORY		MAND	52,513	51,528	- 985	98%	127,020	135,624	8,604	107%	17,165	19,753	2,588	0%	196,698	206,905	10,207	105%
TOTAL NON-MANDATORY		NON-TARIFF	8,793	10,786	1,993	123%	45,932	46,343	411	101%	341	159	- 182	47%	55,066	57,288	2,222	104%
TOTAL		TOTAL	61,306	62,314	1,008	102%	172,952	181,967	9,015	105%	17,506	19,912	2,406	114%	251,764	264,193	12,429	105%