


AGENDA ITEM NO: 11

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST REPORT TO THE BOARD OF DIRECTORS THURSDAY 23 FEBRUARY 2012

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 JANUARY 2012
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Board
Confidentiality Level & Reason:	N/A
Medium Term Plan Ref:	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
Key Issues Summary:	<p>An actual surplus of £1.744m has been recorded for the first ten months of the 2011/12 financial year. This represents a favourable variance of £1.444m compared to the planned £0.300m surplus (before exceptional costs). The position includes a benefit of £1.314m relating to a change in the accounting treatment for donated assets.</p> <p>The reported position excludes transition costs of (£4.235m) relating to the new hospital moves and the final new hospital impairment loss (£49.060m). Therefore the overall deficit reported as at 31 January 2012 is (£51.551m).</p>
Recommendations:	The Board of Directors is asked to: <ul style="list-style-type: none">• Receive the contents of this report

Signed: 	Date: 15 February 2012
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 23 FEBRUARY 2012

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 JANUARY 2012

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the first ten months of the 2011/12 financial year between 1 April 2011 and 31 January 2012. It includes the three main financial statements; the Statement of Comprehensive Income, the Statement of Position and the Cash Flow Statement. The report details operating and capital expenditure although with key variances for the period. It also summarises healthcare activity data and the associated income for the first nine months of the financial year.

The 2011/12 Financial Plan provides for an underlying annual surplus of £0.500m. This excludes planned 'exceptional costs' of £52.600m comprising £3.500m of restructuring costs associated with the transition into the New Hospital and an expected impairment loss of £49.100m on the new building. Therefore, in overall terms the Trust has planned for a deficit of (£52.100m) in 2011/12.

An actual surplus of £1.744m has been recorded for the first ten months of the financial year. The planned surplus (before exceptional costs) is £0.300m resulting in a favourable variance of £1.444m. To date transition costs of £4.235m have been incurred and the final element of the planned new hospital impairment has been recognised (£49.060m). Including these items, the overall deficit at 31 January 2011 is (£51.551m).

The reported position includes a £1.314m gain relating to an in year revision to the accounting treatment for Donated Assets, as detailed in the report presented to the Board of Directors on 26 January 2012. The impact of this change will be excluded from Monitor's assessment of financial performance and on this basis the Trust would be reporting an underlying surplus (before restructuring costs and impairments) of £0.430m at month 10 i.e. slightly ahead of the planned £0.300m surplus.

The overall financial position includes an aggregate overspend of (£3.145m) across the Trust's operational divisions for the year to date, after recognition of £7.232m of additional healthcare income. This largely reflects a combination of pay pressures (nursing and medical staff), slippage against CIP schemes and under-recovery against private patient income targets. The operational overspend has been offset by the release of £3.459m from Trust Reserves (Specific and General Contingency).

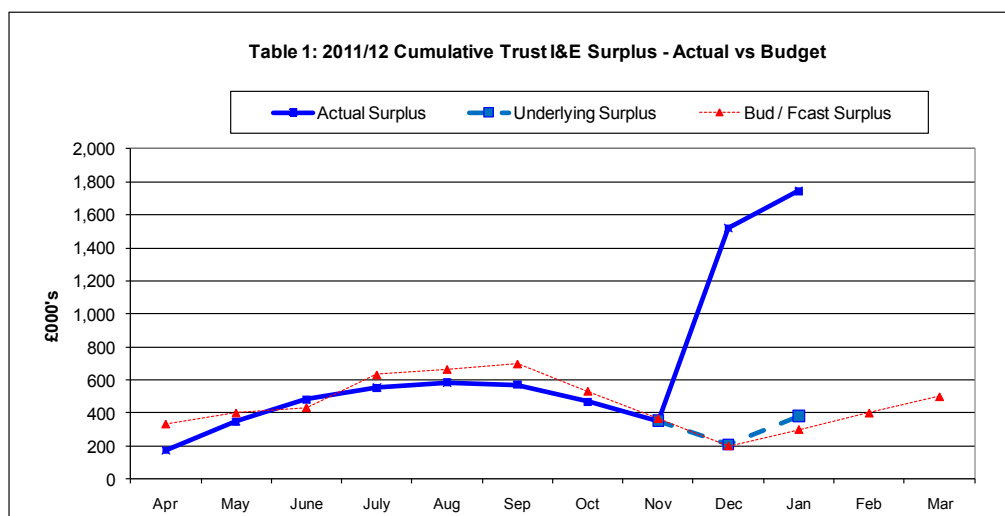
The Financial Risk Rating (FRR) remains at 3 based on Monitor’s review of the Trust financial performance up to Quarter 2. Self assessment of Quarter 3 results and Quarter 4 forecasts indicate that this rating should be maintained throughout the remainder of the 2011/12 financial year.

2. Financial Position Summary

2.1 Year to Date Position

The cumulative income and expenditure surplus (excluding impairments and restructuring) is shown in Table 1 below. The budgeted surplus to date is not a straight pro-rata of the annual plan due to the phasing of expenditure across the year. As detailed above the actual year to date surplus is £1.744m, which is £1.444m above plan. A new line has been added to show the underlying surplus which excluding the gain on donated assets.

Table 1 – I&E Surplus vs. Plan 2011/12



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget	Actual	Variance
	Apr-Jan 2012	Apr-Jan 2012	
	£m	£m	£m
Revenue	460.6	475.9	15.3
Operating Expenses	(430.2)	(445.4)	(15.2)
EBITDA	30.4	30.5	0.1
Depreciation	(15.2)	(15.4)	(0.2)
Donated Asset Revenue	0.7	2.1	1.4
Interest Receivable	0.5	0.6	0.1
Interest Payable	(14.4)	(14.4)	0.0
Contingent Rental	(1.7)	(1.7)	0.0
PDC Dividend	0.0	0.0	0.0
Operational (Deficit)/Surplus	0.3	1.7	1.4
Transition Costs	(2.9)	(4.2)	(1.3)
Impairments on Property	(49.1)	(49.1)	0.0
Retained (Deficit)/Surplus	(51.7)	(51.6)	0.1

Note - may include rounding differences

2.2. Forecast Outturn

Excluding impairments and restructuring costs, the Trust is on course to meet or slightly exceed the planned underlying surplus of £0.5m. As a result of the gain on donated assets the overall annual surplus is likely to be around £1.8m.

3. **Income and Expenditure**

3.1 Income Analysis

Total operating income is £15.3m above budget at 31 January 2012. Within this NHS Clinical Income is £13.3m ahead of plan due to £6.1m of additional cost per case income and £7.2m related to activity increases. This reflects the over-performance outlined in section 3.2 (Table 5) and some small balances relating to 2010/11 where final activity differed from the previous estimates and payments have now been agreed.

Non-NHS Clinical Income is (£0.7m) below plan primarily due to an under recovery of private patient income in the year (£0.8m). Other Income is above plan by £2.7m reflecting increases in education, research and development and non NHS SLA income. These sources typically have matching expenditure commitments and therefore have little impact on the bottom line.

Table 3 – Income against plan

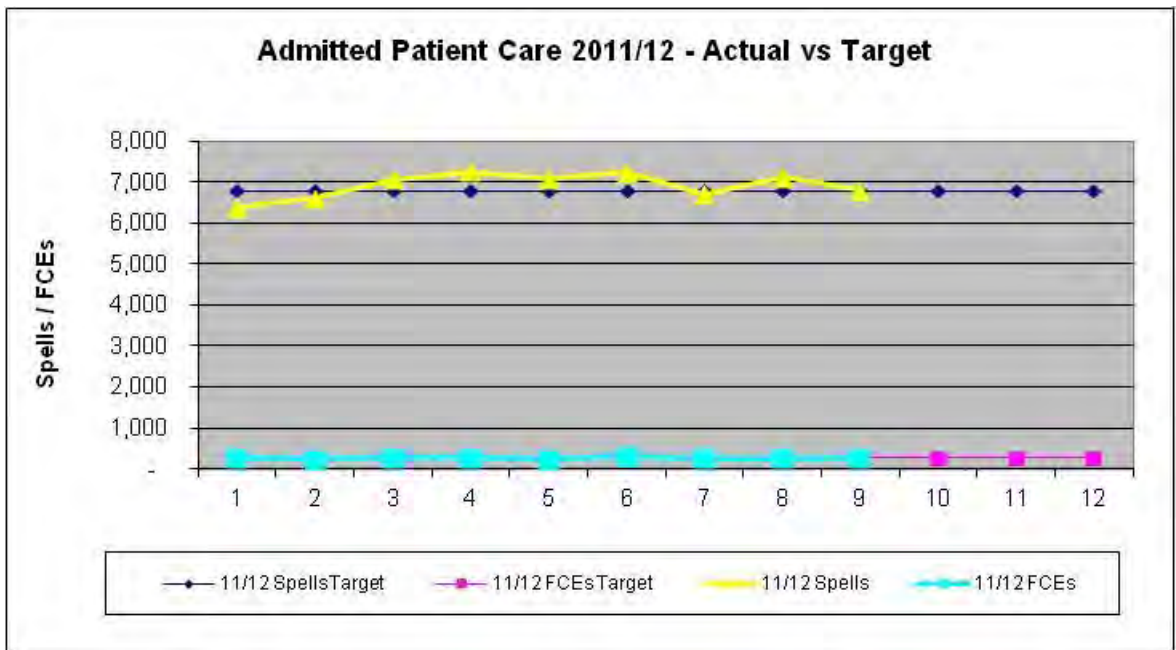
	Budget Apr-Jan 2012 £m	Actual Apr-Jan 2012 £m	Variance £m
Clinical - NHS	361.3	374.6	13.3
Clinical - Non NHS	10.8	10.1	(0.7)
Other	88.5	91.2	2.7
TOTAL	460.6	475.9	15.3

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2011/12 monthly admitted patient care activity against target levels for the first nine months of the financial year. This shows that inpatient activity was in line with target in Month 9 and remains ahead of plan for the year to date.

Table 4.1 – Trust Inpatient Activity



Outpatient attendances (new appointments and follow ups) were slightly below plan in Month 9 (December) reflecting the seasonal holidays and reduced working days. Despite this outpatient procedures were above plan for the month. On a year to date basis total outpatient activity remains above target as shown in Table 4.2 below.

Table 4.2 – Trust Outpatient Activity

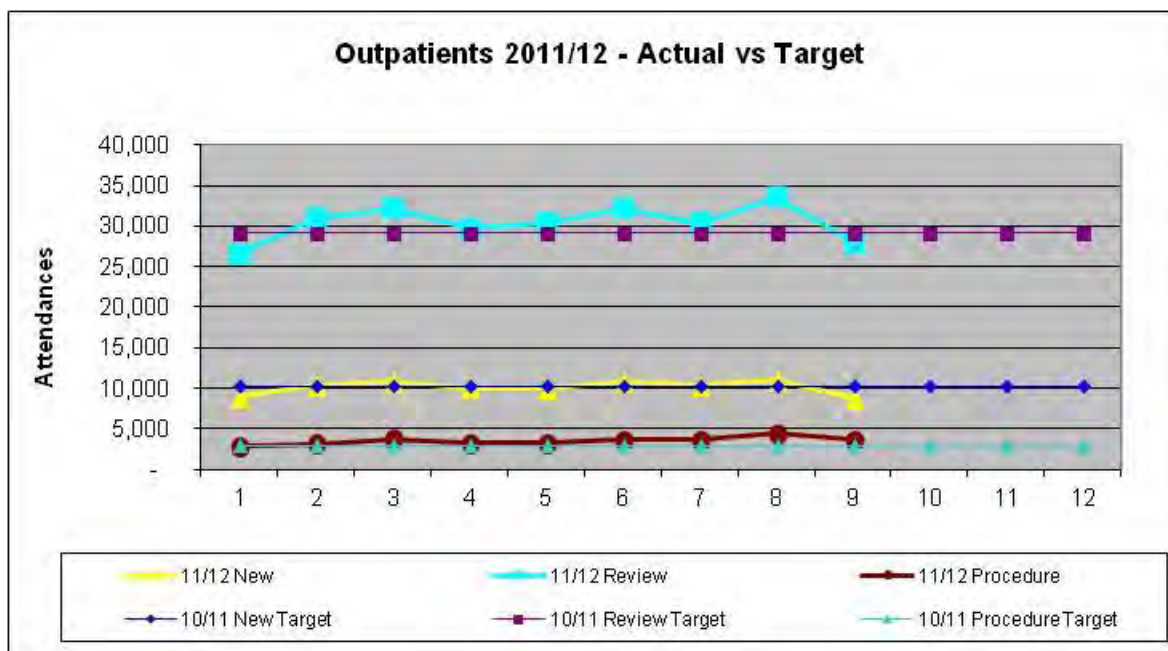


Table 5 below shows the variance by Division and Point of Delivery against the 2011/12 healthcare income targets. Healthcare income (excluding cost per case) continues to increase above plan, with the position now showing £7.1m for the first nine months of the financial year. This includes credit note provisions based on an assessment of commissioner queries raised to date. However, further payment risks may arise for new queries or should the Trust fail to achieve any of its contractual targets. A detailed summary of activity performance against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	328	(870)	(156)	2,789	2,091
Outpatient	0	1,170	1,237	461	2,868
Other	2,003	(76)	670	(453)	2,144
Total	2,331	223	1,752	2,797	7,103

Note - may include rounding differences

3.3

Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£15.2m) against budgeted expenditure of £445.4m for the period. The main components include pay overspends (£5.6m), and cost per case drugs and devices (£4.7m).

Table 6 – Expenditure against plan

	Budget Apr-Jan 2012 £m	Actual Apr-Jan 2012 £m	Variance £m
PAY			
Medical Staff	76.4	78.9	(2.5)
Nursing	82.5	87.9	(5.4)
Scientific & Technical	32.2	32.0	0.2
SMP / A&C	42.6	43.0	(0.4)
Other / Pay Reserves	12.6	10.1	2.5
Total Pay	246.3	251.9	(5.6)
NON PAY			
Drugs	48.3	51.6	(3.3)
Clinical Supplies & Services	57.7	59.1	(1.4)
Other / Non-Pay Reserves	77.9	82.8	(4.9)
Total Non Pay	183.9	193.5	(9.6)
GRAND TOTAL	430.2	445.4	(15.2)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2011/12 Financial Plan includes a total efficiency savings target of £18.8m. Progress against delivering this is reported to the Board of Directors each quarter. Total CIP slippage reported at the end of quarter 3 was (£1.847m), with overall delivery having improved to 86% from 85% at the end of quarter 2. The current forecast is for 90% of the original CIP plan to be achieved by 31 March 2012 with the gap largely covered by additional contingency schemes.

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan				Y/E Forecast
	Q1	Q2	Q3	Q4	
Division A	(94)	(203)	(285)		(334)
Division B	(199)	(211)	(272)		(375)
Division C	(160)	(159)	(117)		31
Division D	(187)	(486)	(658)		(542)
Corporate & NHP	(43)	(198)	(284)		(344)
Single Site Savings	(287)	(154)	(230)		(307)
Total	(970)	(1410)	(1847)		(1,871)
% Achieved	70%	85%	86%		90%

4. Divisional Analysis

Divisional budgets (excluding healthcare income) recorded an adverse variance of (£3.145m) for the first nine months of the financial year, including

£7.232m of additional healthcare income. This overspend is partially offset by the release of £1.559m from the Trusts general reserve.

Table 8 – Analysis of year to date variances by Division

	Income £'000	Expenditure £'000	Total £'000	Year End Forecast £'000
Division A	540	(4,520)	(3,980)	(2,973)
Division B	1,412	(3,634)	(2,222)	(1,933)
Division C	(166)	(3,004)	(3,170)	(2,964)
Division D	(1,067)	(991)	(2,058)	(1,357)
Division E	5,162	(4,109)	1,053	1,074
Sub - Total	5,881	(16,258)	(10,377)	(8,153)
Healthcare Income	7,232	0	7,232	6,090
TOTAL	13,113	(16,258)	(3,145)	(2,063)
<i>Memo General Contingency Reserve</i>			1,559	1871

Note - may include rounding differences

The aggregate divisional overspend for January was (£815,000) before taking account of healthcare over-performance income. The main overspend in the month was again nursing (including Theatre ODP's) with expenditure being (£602,000) above budget in the month. Specific departmental nursing pressures in January included Critical Care (£114,000), Theatres (£64,000) A&E (£19,000), which represent a combination of high activity levels, sickness cover and enhancements paid for the Christmas bank holidays. An estimated (£85,000) relates to unfunded bed capacity across inpatient wards and CDU trolleys. Other nursing cost pressures included (£98,000) incurred to provide additional nursing for specific patients ('specials') on the inpatients wards, primarily on the fifth floor. The remaining nursing overspend (£222,000) relates to a combination of sickness and vacancy cover, over-establishments and skill mix pressures across inpatient areas.

The aggregate medical staffing over spend in January was (£117,000) representing a further improvement on the previous monthly trend. Of this (£64,000) relates to junior and middle grade doctors with agency costs remaining in excess of funded vacancies in a few key areas including A&E (£48,000) and Anaesthetics (£36,000). The overspends on senior medical staff (£53,000) relate to the locum in Dermatology (£11k) and waiting list payments across a number of specialties. There were also further non-pay pressures in several areas including Theatres (£129,000), Critical Care (£47,000), Laboratories (£49,000) and Imaging (£78,000). This expenditure appears to be largely activity driven.

On a more positive note, there were increases in variable income during the month in a number of areas including £45,000 from the Injury Costs Recovery Scheme, £127,000 in Cardiac Surgery relating to TAVI, VAD and ECMO activity, £28,000 in Imaging and £59,000 relating to Medical Physics SLA's.

5. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) shows the value of Trust assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies

the sources of finance. Table 9 summarises the Trust's Statement of Financial Position at 31 January 2012.

Table 9 – Statement of Financial Position

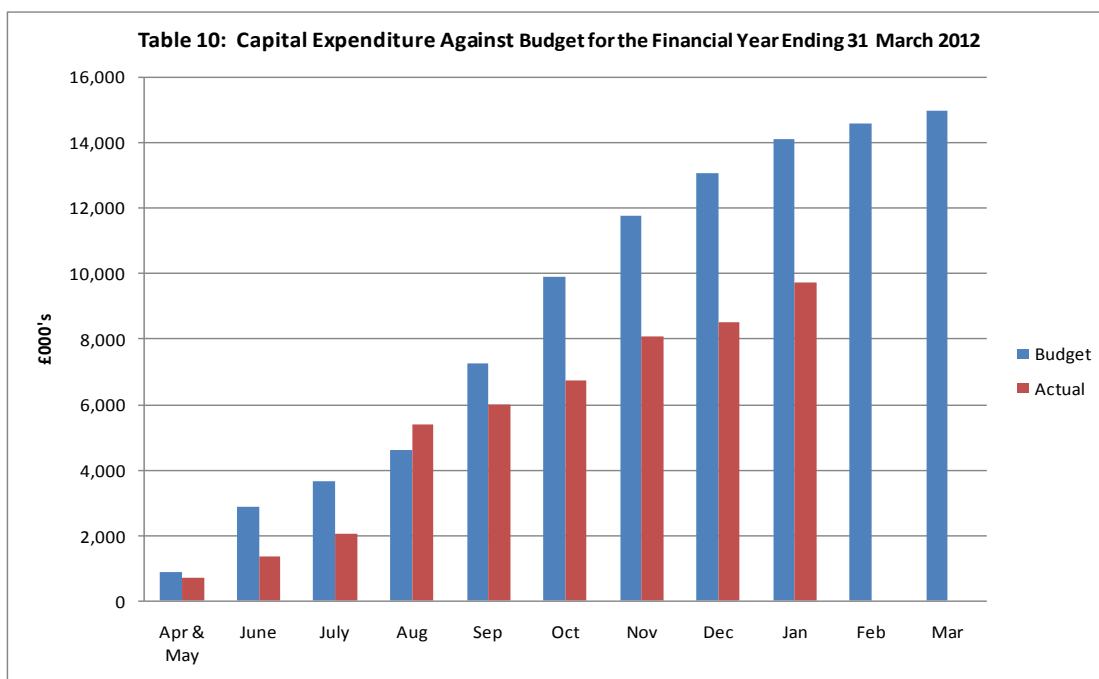
	Audited Mar 2011 £m	Actual Jan 2012 £m	YTD Plan Jan 2012 £m	Annual Plan Mar 2012 £m
Non Current Assets:				
Property, Plant and Equipment	437.0	494.8	497.9	497.7
Intangible Assets	1.0	0.8	1.0	1.0
Trade and Other Receivables	2.8	2.8	2.9	2.9
Other Assets	0.3	0.2	0.0	0.0
Total Non Current Assets	441.1	498.6	501.8	501.6
Current Assets:				
Inventories	12.8	13.3	12.1	12.0
Trade and Other Receivables	50.7	20.8	27.7	29.7
Other Financial Assets	1.1	9.1	9.8	1.5
Other Current Assets	2.2	8.0	4.8	4.0
Cash	62.0	68.7	47.7	55.0
Total Current Assets	128.8	119.9	102.1	102.2
Current Liabilities:				
Trade and Other Payables	64.5	64.8	57.7	61.0
Borrowings	10.9	12.3	12.3	12.3
Provisions	3.3	3.2	3.3	3.3
Tax Payable	6.3	6.7	6.3	6.3
Other Liabilities	26.9	24.8	15.9	13.7
Total Current Liabilities	111.9	111.8	95.5	96.6
Non Current Liabilities:				
Borrowings	447.9	547.8	548.4	546.0
Provisions	1.7	1.7	1.7	1.7
Other Liabilities	41.3	38.8	42.9	42.9
Total Non Current Liabilities	490.9	588.3	593.0	590.6
TOTAL ASSETS EMPLOYED	(32.9)	(81.6)	(84.6)	(83.4)
Financed by:				
Public Dividend Capital	171.0	171.0	171.0	171.0
Income & Expenditure Reserve	(316.5)	(356.6)	(368.3)	(368.6)
Donated Asset Reserve	8.7	0.0	8.8	10.3
Revaluation Reserve	103.9	104.0	103.9	103.9
TOTAL TAXPAYERS EQUITY	(32.9)	(81.6)	(84.6)	(83.4)

Note - may include rounding differences

6. Capital Programme

The approved Capital Programme for 2011/12 totals £15.0m. Year to date expenditure is £9.7m and full year expenditure is now forecast to be around £11.2m due to slippage on a number of schemes including the plaza works and retained estate redevelopment. Expenditure in January was £1.2m which included the replacement lithotripter machine (£0.4m), other replacement medical equipment (£0.2m) and new hospital laboratory variation works (£0.4m). A summary of expenditure against major schemes is provided in Appendix D.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

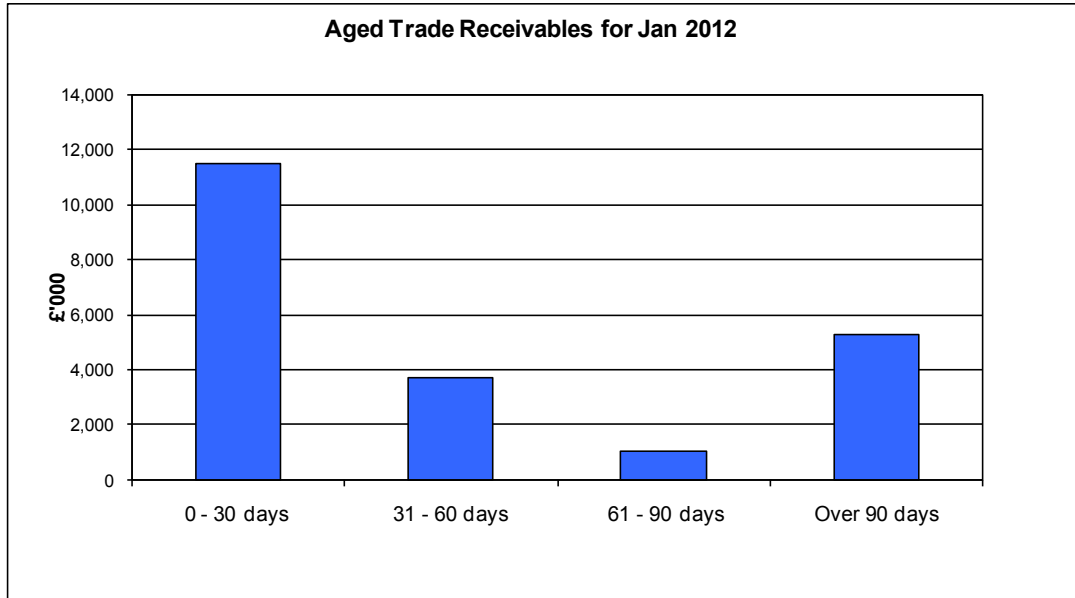
The value of current assets (excluding inventories and cash) due within one year was £37.9m at 31 January 2012. Of this, £16.1m relates to Trade Receivables i.e. unpaid invoices raised by the Trust. Analysis of this debt by age is included in Appendix E and summarised in table 12 over the page.

Over 90 day debt as at 31 January 2012 was £5.3m, a £0.3m improvement compared to the previous month. Of this £2.4m is attributable to NHS commissioners with (£1.4m) relating to NHS South Birmingham. This is mainly due to payments held back pending achievement of CQUIN targets and payments should now start to be released. The other main area of debt relates to SLA agreements with other NHS providers (£1.8m) including Birmingham Community Healthcare (£0.4m), Sandwell and West Birmingham (£0.3m) and Heart of England NHS Foundation Trust (£0.3m). These amounts are typically matched by reciprocal creditor balances and therefore do not impact significantly on cash-flow.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Jan 2012 £m	Forecast Jan 2012 £m
Trade Receivables	16.1	26.4
Bad Debt Provision	-0.9	-2.3
Other Receivables	5.6	3.6
Trade and Other Receivables	20.8	27.7
Accrued Income	9.1	9.8
Other Financial Assets	9.1	9.8
Prepayments	8.0	4.8
Deferred Asset	0.0	0.0
Other Current Assets	8.0	4.8
TOTAL	37.9	42.3

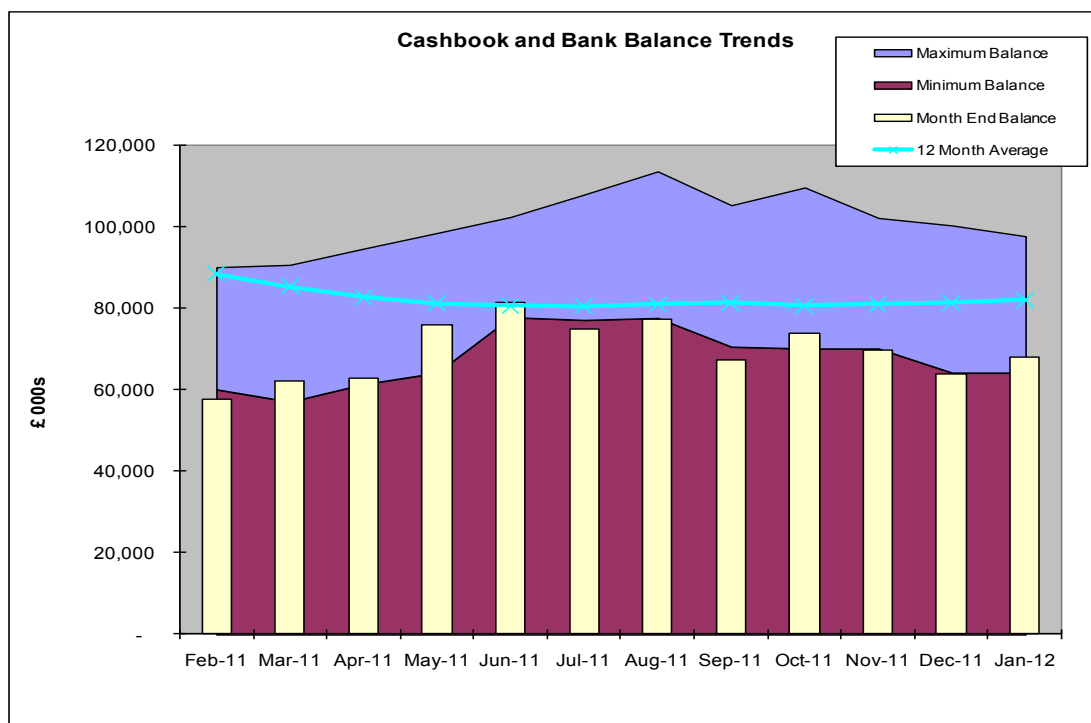
Table 12 – Aged Debt Analysis of Trade Receivables due within One Year



8. Cash Flow

A cash flow statement is included as Appendix F showing actual cash received and paid out against forecast. Table 13 below shows the cash balance at month end along with the minimum and maximum daily bank balance in the month and a twelve month rolling average bank balance. The actual cashbook balance at 31 January 2012 was £68.7m which is ahead of plan by £21.0m. This reflects favourable movements in working capital, with debtors being below plan and creditors above plan, and the slippage against the capital programme. Consequently the twelve month rolling average has remained stable over recent months rather than reducing as planned.

Table 13 – Cash Flow



9. Working Capital Facility

The Trust has a working capital facility of £30.0m in line with the 2011/12 Annual Plan. This facility is necessary to meet Monitor's liquidity ratio requirements. The overdraft has not been used to date and there are no plans to call on the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

The PFI new hospital is incorporated into the Trust's Prudential Borrowing Code as the agreement is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - Mar 2012	Actual - Jan 2012
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	2.2	2.4
Minimum Debt Service Cover	> 1.5	1.3	1.4
Maximum Debt Service to Revenue	< 10%	5.1%	4.9%

The first three ratios compare how many times the Trust's income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan respectively (debt service is the sum of interest paid and the capital repayment of the loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limit for Minimum Debt Service cover ratio with a ratio of 1.3 (against a target of 1.5). Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example, but not limited to, a major renovation or redevelopment programme). This does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit is £564.5m.

10.2 Financial Risk Rating

The Financial Risk Rating (FRR) remains at 3 based on the Trust's 2011/12 Annual Plan and the latest results published by Monitor (Quarter 2). Current financial performance indicates that this rating should be maintained throughout the remainder of the 2011/12 financial year. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. Conclusion

The Trust has reported a £1.744m income and expenditure surplus for the first ten months of the financial year. This represents a favourable variance of £1.444m compared to the planned £0.300m surplus (before exceptional costs). This includes a benefit of £1.314m relating to changes in the accounting treatment for Donated Assets. Excluding this the Trust would have reported an underlying surplus (before exceptional costs) of £0.430m as at the end of January 2012, slightly ahead of the planned £0.300m surplus. To date transition costs of £4.235m have been incurred and the final element of the planned new hospital impairment has been recognised (£49.060m). Including all these items, the overall deficit at 31 January 2012 is (£51.551m).

12. Recommendations

The Board of Directors is asked to:

- Receive the contents of this report

A handwritten signature in black ink, consisting of a large capital letter 'M' followed by the name 'Sexton' in a cursive script.

Mike Sexton
Director of Finance
15 February 2012

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING 31st January 2012
STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY EXECUTIVE DIRECTOR

PERIOD			BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
341	418	77	CHIEF EXECUTIVE	Julie Moore	3,803	4,107	304	4,465	4,465	0
(881)	(903)	(22)		DIRECT INCOME	(9,035)	(9,002)	33	(10,789)	(10,789)	0
(347)	(379)	(32)		DIRECT EXPENDITURE PAY	(3,810)	(4,147)	(337)	(4,483)	(4,483)	0
(887)	(864)	23		DIRECT EXPENDITURE NON PAY	(9,042)	(9,042)	0	(10,807)	(10,807)	0
			TOTAL							
600	0	(600)	RESERVES	Julie Moore	(1,900)	0	1,900	(6,016)	(5,824)	192
(156)	0	156		SPECIFIC	(1,559)	0	1,559	(1,871)	0	1,871
				GENERAL						
444	0	(444)	TOTAL		(3,459)	0	3,459	(7,887)	(5,824)	2,063
554	497	(57)	DIRECTOR OF FINANCE	Mike Sexton	6,865	7,409	544	7,943	7,943	0
(905)	(909)	(4)		DIRECT INCOME	(6,251)	(6,588)	(337)	(7,480)	(7,480)	0
(305)	(318)	(13)		DIRECT EXPENDITURE PAY	(3,212)	(3,496)	(284)	(3,823)	(3,823)	0
(656)	(730)	(74)		DIRECT EXPENDITURE NON PAY	(2,598)	(2,675)	(77)	(3,360)	(3,360)	0
			TOTAL							
139	114	(25)	CHIEF NURSE	Kay Fawcett	1,688	1,581	(107)	2,024	2,024	0
(1,507)	(1,526)	(19)		DIRECT INCOME	(13,702)	(14,025)	(323)	(16,379)	(16,379)	0
(380)	(362)	18		DIRECT EXPENDITURE PAY	(3,402)	(3,207)	195	(4,097)	(4,097)	0
(1,748)	(1,774)	(26)		DIRECT EXPENDITURE NON PAY	(15,416)	(15,651)	(235)	(18,452)	(18,452)	0
			TOTAL							
39,943	41,801	1,858	CHIEF OPERATING OFFICER	Kevin Bolger	389,507	402,620	13,113	468,603	475,599	6,996
(19,715)	(20,144)	(429)		DIRECT INCOME	(194,123)	(202,859)	(8,736)	(232,920)	(239,476)	(6,556)
(12,602)	(13,397)	(795)		DIRECT EXPENDITURE PAY	(128,193)	(135,715)	(7,522)	(148,632)	(151,135)	(2,503)
7,626	8,260	634		DIRECT EXPENDITURE NON PAY	67,191	64,046	(3,145)	87,051	84,988	(2,063)
			TOTAL							
2,482	2,588	106	DIRECTOR OF DELIVERY	Tim Jones	31,811	32,090	279	37,563	37,563	0
(928)	(940)	(12)		DIRECT INCOME	(8,263)	(8,232)	31	(10,515)	(10,515)	0
(1,187)	(1,283)	(96)		DIRECT EXPENDITURE PAY	(10,931)	(11,224)	(293)	(12,636)	(12,636)	0
367	365	(2)		DIRECT EXPENDITURE NON PAY	12,617	12,634	17	14,412	14,412	0
			TOTAL							
1,359	1,469	110	NEW HOSPITAL DIRECTOR	Morag Jackson	13,379	14,187	808	16,090	16,090	0
(253)	(258)	(5)		DIRECT INCOME	(2,401)	(2,449)	(48)	(2,746)	(2,746)	0
(5,119)	(5,232)	(113)		DIRECT EXPENDITURE PAY	(48,435)	(49,234)	(799)	(60,083)	(60,083)	0
(4,013)	(4,021)	(8)		DIRECT EXPENDITURE NON PAY	(37,457)	(37,496)	(39)	(46,739)	(46,739)	0
			TOTAL							
1,355	1,382	27	MEDICAL DIRECTOR	Dr David Rosser	13,522	13,797	275	16,142	16,142	0
(879)	(819)	60		DIRECT INCOME	(8,890)	(8,638)	252	(10,648)	(10,648)	0
(109)	(202)	(93)		DIRECT EXPENDITURE PAY	(2,168)	(2,707)	(539)	(2,412)	(2,412)	0
367	361	(6)		DIRECT EXPENDITURE NON PAY	2,464	2,452	(12)	3,082	3,082	0
			TOTAL							
123	146	23	CAPITAL CHARGES		1,225	2,846	1,621	1,470	1,470	0
0	0	0		DIRECT INCOME	0	0	0	0	0	0
(1,523)	(1,518)	5		DIRECT EXPENDITURE PAY	(15,225)	(15,370)	(145)	(18,270)	(18,270)	0
(1,400)	(1,372)	28		DIRECT EXPENDITURE NON PAY	(14,000)	(12,524)	1,476	(16,800)	(16,800)	0
			TOTAL							
46,296	48,415	2,119	TOTAL	INCOME	461,800	478,637	16,837	554,300	561,296	6,996
(24,506)	(25,499)	(993)		PAY	(246,300)	(251,793)	(5,493)	(295,200)	(297,383)	(2,183)
(21,690)	(22,691)	(1,001)		NON PAY	(215,200)	(225,100)	(9,900)	(258,600)	(263,413)	(4,813)
100	225	125		SURPLUS BEFORE EXCEPTIONAL ITEMS	300	1,744	1,444	500	500	0
0	0	0		PROPERTY IMPAIRMENT	(49,100)	(49,060)	40	(49,100)	(49,100)	0
(292)	59	351		TRANSITION COSTS	(2,917)	(4,235)	(1,318)	(3,500)	(3,500)	0
(192)	284	476		OVERALL SURPLUS / (DEFICIT)	(51,717)	(51,551)	166	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING 31st January 2012
STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER

PERIOD			BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
37,757	39,203	1,446	HEALTHCARE INCOME	DIRECT INCOME	370,252	377,484	7,232	444,071	450,161	6,090
0	0	0		DIRECT EXP PAY	0	0	0	0	0	0
0	0	0		NON PAY	0	0	0	0	0	0
37,757	39,203	1,446		TOTAL Kevin Bolger	370,252	377,484	7,232	444,071	450,161	6,090
846	933	87	DIVISION A	DIRECT INCOME	8,160	8,700	540	9,779	9,964	185
(7,383)	(7,649)	(266)		DIRECT EXP PAY	(72,249)	(74,208)	(1,959)	(86,730)	(88,379)	(1,649)
(2,729)	(3,053)	(324)		NON PAY	(26,620)	(29,181)	(2,561)	(32,209)	(33,718)	(1,509)
(9,266)	(9,769)	(503)		TOTAL Dr. Steve Burnley	(90,709)	(94,689)	(3,980)	(109,160)	(112,133)	(2,973)
481	600	119	DIVISION B	DIRECT INCOME	4,893	6,305	1,412	4,903	6,368	1,465
(3,838)	(3,956)	(118)		DIRECT EXP PAY	(38,171)	(40,285)	(2,114)	(45,678)	(47,455)	(1,777)
(3,554)	(3,682)	(128)		NON PAY	(32,781)	(34,301)	(1,520)	(39,471)	(41,092)	(1,621)
(6,911)	(7,038)	(127)		TOTAL Dr Nick Murphy	(66,059)	(68,281)	(2,222)	(80,246)	(82,179)	(1,933)
670	745	75	DIVISION C	DIRECT INCOME	7,439	7,273	(166)	8,210	8,212	2
(3,845)	(4,062)	(217)		DIRECT EXP PAY	(37,084)	(38,923)	(1,839)	(44,527)	(45,898)	(1,371)
(3,375)	(3,387)	(12)		NON PAY	(28,079)	(29,244)	(1,165)	(33,079)	(34,674)	(1,595)
(6,550)	(6,704)	(154)		TOTAL Dr David Peake	(57,724)	(60,894)	(3,170)	(69,396)	(72,360)	(2,964)
356	253	(103)	DIVISION D	DIRECT INCOME	3,635	2,568	(1,067)	4,364	3,513	(851)
(4,195)	(4,329)	(134)		DIRECT EXP PAY	(42,272)	(44,248)	(1,976)	(50,729)	(52,488)	(1,759)
(4,017)	(3,948)	69		NON PAY	(38,101)	(37,116)	985	(45,213)	(43,960)	1,253
(7,856)	(8,024)	(168)		TOTAL Dr Prem Mahendra	(76,738)	(78,796)	(2,058)	(91,578)	(92,935)	(1,357)
(167)	67	234	CHIEF OPERATING OFFICER	DIRECT INCOME	(4,872)	290	5,162	(2,724)	(2,619)	105
(454)	(148)	306		DIRECT EXP PAY	(4,347)	(5,195)	(848)	(5,256)	(5,256)	0
1,073	673	(400)		NON PAY	(2,612)	(5,873)	(3,261)	1,340	2,309	969
452	592	140		TOTAL Kevin Bolger	(11,831)	(10,778)	1,053	(6,640)	(5,566)	1,074
39,943	41,801	1,858	TOTAL OPERATIONAL	INCOME	389,507	402,620	13,113	468,603	475,599	6,996
(19,715)	(20,144)	(429)	DIVISIONS	PAY	(194,123)	(202,859)	(8,736)	(232,920)	(239,476)	(6,556)
(12,602)	(13,397)	(795)		NON PAY	(128,193)	(135,715)	(7,522)	(148,632)	(151,135)	(2,503)
7,626	8,260	634		TOTAL SURPLUS / (DEFICIT)	67,191	64,046	(3,145)	87,051	84,988	(2,063)

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CONSOLIDATED INCOME STATEMENT**

31st January 2012

APPENDIX C

	Period To date			Forecast Out-turn		
	Budget	Actual	Variance	Budget	Actual	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
Income						
-Clinical - NHS	361,300	374,634	13,334	440,313	445,313	5,000
-Clinical - Non NHS	10,800	10,080	(720)	13,406	12,406	(1,000)
-Other	89,200	93,297	4,097	99,980	102,976	2,996
TOTAL INCOME	461,300	478,011	16,711	553,700	560,696	6,996
Operating Expenses						
-Pay Costs	(246,300)	(251,822)	(5,522)	(295,200)	(297,383)	(2,183)
-Non Pay	(183,900)	(193,556)	(9,656)	(220,842)	(225,655)	(4,813)
-Depreciation	(15,200)	(15,375)	(175)	(18,200)	(18,200)	0
TOTAL EXPENDITURE	(445,400)	(460,753)	(15,353)	(534,243)	(541,239)	(6,996)
OPERATING SURPLUS	15,900	17,258	1,358	19,457	19,457	0
Interest Receivable	500	614	114	600	600	0
Interest Payable	(14,400)	(14,396)	4	(17,452)	(17,452)	0
Contingent Rental	(1,700)	(1,732)	(32)	(2,105)	(2,105)	0
PDC Dividends Payable	0	0	0	0	0	0
SURPLUS FOR THE FINANCIAL YEAR	300	1,744	1,444	500	500	0
Transition Costs	(2,917)	(4,235)	(1,318)	(3,500)	(3,500)	0
Impairments on Property	(49,100)	(49,060)	40	(49,100)	(49,100)	0
RETAINED SURPLUS FOR THE YEAR	(51,717)	(51,551)	166	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING 31st January 2012
CAPITAL PROGRAMME (NHS EXPENDITURE)

APPENDIX D

BUDGET £'000	PERIOD		SCHEME	TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
50	13	37	Bfwd Schemes 10-11	445	163	282	495	330	0
200	10	190	Tomotherapy	4,100	3,748	352	4,200	3,900	0
75	31	44	IT Strategy and Infrastructure 11/12	1,225	1,056	169	1,295	1,295	0
25	0	25	Chief Operating Officer Discretionary Capital	250	43	207	300	300	0
100	603	(503)	Equipment Replacement	2,200	2,095	105	2,300	2,100	0
50	9		Equipment Other	1,368	1,667	(299)	1,368	1,783	0
495	98	397	Retained Estate & Plaza	3,685	334	3,351	4,225	1,825	0
75	452	(377)	New Hospital	1,250	630	620	1,309	809	0
(42)	0	(42)	Slippage	(417)	0	(417)	(500)	(500)	0
1,028	1,216	(229)	Capital Programme	14,106	9,736	4,370	14,992	11,842	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
AGED ANALYSIS OF TRADE RECEIVABLES

31st January 2012

APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
MAY	21,503,128 100%	2,085	7,315,316 34%	2,098,814 10%	8,061,704 37%	4,027,294 19%
JUNE	21,225,822 100%	1,992	9,406,317 44%	3,381,319 16%	722,286 3%	7,715,901 36%
JULY	32,166,841 100%	2,681	18,605,732 58%	3,965,388 12%	2,683,227 8%	6,912,494 21%
AUG	27,336,413 100%	2,631	9,789,188 36%	8,366,073 31%	1,629,857 6%	7,551,295 28%
SEP	20,906,731 100%	2,906	9,639,869 46%	2,283,868 11%	2,872,379 14%	6,110,616 29%
OCT	21,818,058 100%	3,128	10,083,454 46%	3,675,972 17%	1,579,744 7%	6,478,889 30%
NOV	19,034,951 100%	2,292	7,607,251 40%	4,347,029 23%	1,758,771 9%	5,321,899 28%
DEC	22,282,750 100%	3,291	12,256,401 55%	2,576,224 12%	1,873,251 8%	5,576,874 25%
JAN	21,459,440 100%	4,636	11,487,824 54%	3,679,288 17%	1,030,628 5%	5,261,701 25%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

FINANCIAL PERFORMANCE - PERIOD ENDING

31st January 2012

CASHFLOW FORECAST 2011/12

APPENDIX F

	Apr F/Cast £000's	Apr Actual £000's	May F/Cast £000's	May Actual £000's	June F/Cast £000's	June Actual £000's	July F/Cast £000's	July Actual £000's	Aug F/Cast £000's	Aug Actual £000's	Sep F/Cast £000's	Sep Actual £000's	Oct F/Cast £000's	Oct Actual £000's	Nov F/Cast £000's	Nov Actual £000's	Dec F/Cast £000's	Dec Actual £000's	Jan F/Cast £000's	Jan Actual £000's	Feb F/Cast £000's	Mar F/Cast £000's	
INCOME																							
Contract Income Protected	36,100	38,240	36,100	49,682	36,100	41,542	36,133	42,359	36,133	44,982	36,134	48,527	36,133	42,518	36,133	40,875	36,134	39,778	36,133	44,750	36,133	36,134	
Non Protected	1,066	83	1,067	167	1,067	296	1,100	111	1,100	356	1,100	174	1,066	137	1,067	153	1,067	335	1,100	181	1,100	1,100	
Other Income	8,866	10,916	8,866	8,366	8,868	9,502	8,866	5,961	8,866	6,017	8,868	8,032	8,866	12,768	8,866	6,202	8,868	5,328	8,866	9,469	8,866	8,868	
Interest Received	33	16	33	12	34	13	67	76	67	15	66	13	33	85	33	13	34	314	67	91	66	67	
Total Received	46,065	49,255	46,066	58,228	46,069	51,353	46,166	48,507	46,166	51,370	46,168	56,746	46,098	55,507	46,099	47,243	46,103	45,754	46,166	54,492	46,165	46,169	
EXPENDITURE																							
Pay	24,700	27,687	24,700	24,174	24,700	24,231	24,633	23,921	24,633	24,457	24,634	27,911	24,600	24,074	24,600	24,622	24,600	24,345	24,466	24,353	24,467	24,467	
Non Pay	16,433	16,574	16,433	16,260	16,434	15,600	16,366	25,866	16,367	19,023	16,367	31,156	16,366	18,634	16,367	20,918	16,367	21,003	16,366	20,265	16,367	17,555	
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	131	0	0	0	0	0	0	0	0	0	0	
PFI - Specific	1,600	1,441	1,600	1,466	1,600	2,486	1,700	1,962	1,700	1,956	1,700	1,893	1,800	2,002	1,800	1,945	1,800	1,994	1,766	2,052	1,767	1,767	
Capital	966	427	967	497	967	757	1,466	498	1,467	747	1,467	3,231	1,933	1,020	1,933	882	1,934	963	633	1,487	633	3,034	
Total Payments	43,699	46,129	43,700	42,398	43,701	43,074	44,165	52,246	44,167	46,183	44,168	64,322	44,699	45,730	44,700	48,367	44,701	48,305	43,231	48,158	43,234	46,823	
Net Inflow(outflow)	2,366	3,127	2,366	15,830	2,368	8,279	2,001	(3,740)	1,999	5,187	2,000	(7,576)	1,399	9,778	1,399	(1,124)	1,402	(2,550)	2,935	6,334	2,931	(654)	
FINANCING																							
Interest Expense on PFI Scheme	(1,266)	(1,273)	(1,267)	(1,273)	(1,267)	(1,242)	(1,466)	(1,470)	(1,467)	(1,470)	(1,467)	(1,423)	(1,566)	(1,572)	(1,567)	(1,522)	(1,567)	(1,572)	(1,533)	(1,579)	(1,533)	(1,534)	
Capital PFI	(800)	(795)	(800)	(795)	(800)	(776)	(733)	(1,019)	(733)	(623)	(734)	(603)	(966)	(1,074)	(967)	(1,125)	(967)	(1,162)	(1,133)	(997)	(1,133)	(1,134)	
PFI - Variations	0	0	0	(140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Restructuring Costs	(300)	(293)	(300)	(280)	(300)	(818)	(300)	(525)	(300)	(573)	(300)	(591)	(300)	(404)	(300)	(359)	(300)	(452)	(300)	60	(300)	(200)	
Movement on Other Grants/Capital	0	0	0	0	0	0	0	94	0	0	0	0	0	0	0	0	0	0	0	0	0	2,400	
CASH BALANCES																							
Opening balance	61,988	61,988	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	67,207	59,056	73,934	57,620	69,805	56,188	64,069	56,158	56,123	
Bank balances c/f	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	67,207	59,056	73,934	57,620	69,805	56,188	64,069	56,158	67,887	56,123	55,000	
Cash Held/Agency Bal Pharmacy@QEHB Ltd	21	25		23		24		24		24		23		24		24		24		22			
Total	62,009	62,779	61,987	76,119	61,988	81,563	61,490	74,903	60,989	77,424	60,488	67,230	59,056	74,040	57,620	69,905	56,188	64,236	56,158	68,657	56,123	55,000	

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Inpatient Activity by Division [Month 9- April-December 2011]

APPENDIX G(1)

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Anaesthetics	0	0	0	0	0	0
Critical Care Medicine	0	20	2	13	2	-7
Interventional Radiology	0	0	508	0	508	0
Rehabilitation	22	20	12	10	-10	-10
	22	39	522	23	500	-16
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	330	2	323	2	-7	1
Cardiology	2,366	959	2,039	993	-327	35
Cardiothoracic Surgery	500	204	451	248	-49	44
Cardiothoracic Transplantation	0	0	5	1	5	1
Colorectal Surgery	1,677	1,269	1,755	181	78	-1,088
Endoscopy	0	0	0	0	0	0
Gastroenterology	3,731	247	3,316	216	-415	-31
General Surgery	1,987	1,630	2,119	3,060	132	1,430
Hepatobiliary & Pancreatic Surgery	642	219	722	198	80	-21
Hepatology	846	182	932	166	86	-16
Liver Transplantation	0	0	0	0	0	0
Nephrology	762	834	611	986	-151	152
Renal Surgery	0	0	0	0	0	0
Renal Transplantation	0	0	0	0	0	0
Transplantation Surgery	5	32	4	44	-1	13
Upper Gastrointestinal Surgery	136	2	144	1	8	-1
Vascular Surgery	817	134	795	127	-22	-7
	13,797	5,711	13,216	6,223	-581	512
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	4	854	1	580	-3	-274
Dermatology	470	14	762	13	292	-1
Diabetic Medicine	683	0	618	0	-65	0
Endocrinology	504	48	574	6	70	-42
General Medicine	188	11,185	197	12,356	10	1,171
Genitourinary Medicine	7	14	6	15	-1	1
Geriatric Medicine	2	762	2	637	0	-125
Ophthalmology	2,936	12	2,046	8	-890	-4
Infectious Diseases	0	5	0	1	0	-4
Pain Management	1,160	2	1,153	3	-7	1
Respiratory Medicine	79	383	649	344	570	-39
Rheumatology	575	5	490	0	-85	-5
	6,605	13,284	6,498	13,963	-107	679
<u>Specialty Group: 4 - Division D</u>						
Blood and Marrow Transplantation	8	3	12	2	5	-1
Burns care	1	6	7	59	6	53
Clinical Oncology	742	590	777	501	35	-89
Clinical Haematology	2,519	514	2,714	490	196	-24
ENT	1,287	345	1,420	334	133	-11
Maxillo-facial surgery	813	425	877	433	64	9
Medical Oncology	449	386	423	396	-26	10
Neurology	1,323	154	1,857	148	534	-6
Neurosurgery	2,115	1,025	1,726	1,087	-389	62
Plastic Surgery	2,058	468	2,216	483	158	15
Trauma & Orthopaedics	2,067	1,622	1,894	1,777	-173	156
Urology	2,004	779	1,879	361	-125	-418
	15,384	6,315	15,802	6,071	418	-244
<u>Summary: Services Under PbR</u>	35,808	25,349	36,038	26,280	230	931

Specialty

ACTIVITY							
Target		Actual		Variance			
Elective	Emergency	Elective	Emergency	Elective	Emergency	Elective	Emergency

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Anaesthetics	0	0	0	0	0	0
Critical Care Medicine	0	1	0	0	0	-1
Interventional Radiology	0	0	8	0	8	0
Rehabilitation	1	0	0	0	-1	0
	1	1	8	0	7	-1
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	2	0	10	0	9	0
Cardiology	47	24	61	87	14	63
Cardiac MRI	94	0	124	0	30	0
Cardiac Surgery	0	0	0	0	0	0
Cardiothoracic Surgery	96	4	118	3	22	-1
Thoracic Surgery	0	0	0	0	0	0
Cardiothoracic Transplantation	14	9	1	7	-13	-2
Colorectal Surgery	44	1	36	0	-8	-1
Gastroenterology	41	3	28	1	-13	-2
General Surgery	158	3	191	3	33	0
Hepatobiliary & Pancreatic Surgery	38	10	143	6	106	-4
Hepatology	40	34	44	51	4	17
Liver Transplantation	9	2	0	0	-9	-2
Nephrology	47	6	49	8	2	2
Renal Surgery	0	0	0	0	0	0
Renal Transplantation	113	16	95	13	-18	-3
Transplant Surgery	0	0	0	0	0	0
Upper Gastrointestinal Surgery	2	0	3	0	1	0
Vascular Surgery	43	0	49	0	6	0
	787	111	952	179	165	68
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	27	0	40	0	13
Dermatology	28	0	23	0	-5	0
Diabetic Medicine	0	0	0	0	0	0
Endocrinology	4	2	10	0	6	-2
General Medicine	5	354	3	349	-2	-5
Geriatric Medicine	0	16	0	5	0	-11
Genitourinary Medicine	0	0	1	0	1	0
Infectious Diseases	0	0	0	0	0	0
Ophthalmology	50	0	39	0	-11	0
Pain Management	37	0	46	0	9	0
Respiratory Medicine	1	7	4	6	3	-1
Rheumatology	11	0	7	0	-4	0
	134	405	133	400	-1	-5
<u>Specialty Group: 4 - Division D</u>						
Audiology	0	0	0	0	0	0
Bone & Marrow Transplantation	34	0	15	0	-19	0
Blood and Marrow Transplantation	2	0	4	0	3	0
Burns care	8	167	17	325	10	159
Clinical Oncology	19	1	28	1	9	0
Clinical Haematology	6	3	56	2	50	-1
ENT	84	0	82	0	-2	0
Maxillo-facial surgery	102	5	111	10	9	5
Medical Oncology	70	29	95	52	25	24
Neurology	18	2	86	1	68	-1
Neurosurgery	192	6	152	5	-40	-1
Plastic Surgery	84	33	97	26	13	-7
Trauma & Orthopaedics	89	14	92	12	3	-2
Urology	139	2	101	1	-38	-1
	845	261	936	435	91	174

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Summary: Services at Local Prices</u>	1,767	778	2,029	1,014	262	236
Summary PBR & Local Prices	37,575	26,127	38,067	27,294	492	1,167

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Outpatient Activity by Division [Month 9 - April-December 2011]

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Chemical Pathology	0	0	41	0	0	43	0	0	3
Physiotherapy	0	0	400	0	0	294	0	0	-106
Podiatry	0	0	5	0	0	18	0	0	13
Rehabilitation	0	0	1	0	0	2	0	0	1
Speech & Language Therapy	0	0	2	0	0	85	0	0	83
	0	0	449	0	0	443	0	0	-6
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	2,102	3,828	571	1,817	3,093	439	-285	-735	-132
Cardiology	7,480	16,669	2,000	8,031	18,929	2,877	551	2,260	878
Cardiothoracic Surgery	149	1,374	621	139	1,350	642	-10	-24	21
Cardiothoracic Transplantation	0	0	0	0	0	1	0	0	1
Colorectal Surgery	1,115	1,017	1,440	861	1,223	1,453	-254	206	13
Gastroenterology	1,547	2,731	103	1,673	3,407	258	126	676	155
General Surgery	2,171	4,828	371	1,696	5,129	655	-475	301	284
Hepatobiliary & Pancreatic Surgery	782	2,575	291	871	2,984	337	89	409	46
Hepatology	1,121	7,890	238	1,049	7,884	334	-72	-6	96
Nephrology	914	16,050	236	881	16,695	312	-33	645	77
Transplantation Surgery	0	0	2	0	0	82	0	0	80
Upper Gastrointestinal Surgery	264	343	2	256	408	1			
Vascular Surgery	997	2,386	92	1,009	2,778	272	12	392	180
	18,641	59,691	5,966	18,283	63,880	7,663	-349	4,124	1,698
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	0	0	2	0	0	0	0	0	-2
Diabetic Medicine	367	3,760	50	605	5,148	58	238	1,388	9
Dermatology	5,019	9,194	5,421	4,374	8,813	5,968	-646	-381	547
Endocrinology	847	2,658	14	1,032	4,465	194	185	1,807	181
General Medicine	796	4,246	230	843	4,808	9	47	562	-221
GenitoUrinary Medicine	21,877	10,637	0	22,505	11,451	1	628	815	1
Geriatric Medicine	479	707	9	392	681	5	-87	-26	-4
Ophthalmology	5,291	16,798	1,059	4,317	17,007	2,852	-974	209	1,793
Pain Management	915	1,503	227	877	1,891	282	-38	388	55
Respiratory Medicine	1,214	3,998	2	1,308	4,337	8	94	339	7
Respiratory Physiology	1	0	0	1	0	0	0	0	0
Rheumatology	1,580	7,039	0	1,201	7,986	1	-380	948	1
Infectious Diseases	0	0	0	41	24	0	41	24	0
	38,386	60,538	7,012	37,495	66,612	9,378	-932	6,050	2,366
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	3	0	0	43	0	0	40
Clinical Oncology	4,425	11,400	8	4,574	12,083	13	149	683	5
ENT	3,218	7,565	5,237	2,960	7,113	6,051	-258	-452	815
Clinical Haematology	1,087	10,451	1	1,085	10,188	5	-2	-263	4
Maxillo-facial surgery	2,659	5,812	1,137	2,546	5,957	1,174	-114	146	37
Medical Oncology	840	8,009	766	823	8,185	378	-17	176	-388
Neurology	0	0	15	0	0	130	0	0	115
Neurosurgery	0	0	3	0	0	4	0	0	1
Transient Ischaemic Attack	0	0	0	163	0	4	163	0	4
Plastic Surgery	2,158	7,751	1,759	1,674	8,053	2,036	-483	301	277
Trauma & Orthopaedics	5,030	13,428	603	4,979	14,575	1,073	-51	1,147	470
Urology	2,665	6,075	2,790	2,554	7,206	3,089	-110	1,131	299
	22,082	70,490	12,321	21,358	73,359	14,000	-724	2,869	1,679
<u>Summary: Services Under PbR</u>	79,109	190,719	25,748	77,136	203,851	31,484	-2,006	13,043	5,738

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	56	44	0	55	41	0	-1	-3	0
Physiotherapy	4,880	22,950	0	4,247	21,003	0	-633	-1,947	0
Podiatry	158	740	0	236	650	2	78	-90	2
Speech & Language Therapy	386	1,007	0	392	852	0	6	-155	0
Nutrition & Dietetics	1,122	3,161	0	981	2,505	0	-141	-656	0
Occupational Therapy	217	441	0	249	437	0	32	-4	0
Orthopaedic Triage - Imaging	0	0	0	789	65	0	789	65	0
Rehabilitation	0	0	2	1	6	2	1	6	1
Upper Limb	867	5,238	0	1,086	6,629	0	219	1,391	0
	7,686	33,581	2	8,036	32,188	4	350	-1,392	2
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	138	0	0	0	0	0	-138	0	0
Cardiac MRI	1,148	0	0	1,981	0	0	833	0	0
Cardiology	459	683	413	119	514	0	-340	-169	-413
Cardiothoracic Surgery	0	568	0	1	692	0	1	124	0
Cardiothoracic Transplantation	2	36	0	1	37	0	-1	1	0
Colorectal Surgery	37	5	2	0	50	0	-37	46	-2
Gastroenterology	90	0	4	0	0	0	-90	0	-4
General Surgery	157	32	1	0	3	0	-157	-29	-1
Hepatobiliary & Pancreatic Surgery	26	0	0	0	0	0	-26	0	0
Hepatology	0	338	1	7	312	1	7	-26	0
Liver Transplantation	0	47	0	0	522	0	0	476	0
Nephrology	128	1,131	0	162	1,108	0	35	-23	0
Renal Transplantation	38	1,786	0	39	1,550	0	1	-236	0
Upper Gastrointestinal Surgery	17	10	0	17	22	0	0	12	0
Vascular Surgery	103	0	2	0	0	0	-103	0	-2
	2,343	4,634	421	2,327	4,810	1	122	176	-420
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	1,293	632	0	1,093	295	0	-200	-337	0
Dermatology	0	200	1	0	0	0	0	-200	-1
Diabetic Medicine	0	1,379	0	0	1,467	0	0	88	0
General Medicine	0	379	0	1	155	0	1	-224	0
Genitourinary Medicine	13	9,476	0	218	10,118	0	205	643	0
Ophthalmology	622	0	0	1	0	0	-621	0	0
Pain Management	8	0	0	9	4	0	2	4	0
Respiratory Medicine	0	150	2	0	0	0	0	-150	-2
Rheumatology	32	459	1	18	473	0	-14	14	-1
	1,968	12,674	3	1,341	12,512	0	-627	-162	-3
<u>Specialty Group: 4 - Division D</u>									
Audiology	758	10,623	0	800	10,677	0	43	54	0
Burns care	32	90	0	0	73	0	-32	-17	0
Clinical Oncology	0	7	0	6	0	0	6	-7	0
Clinical Haematology	407	18,031	0	185	19,197	0	-222	1,166	0
ENT	1	4	8	0	4	4	-1	0	-4
Maxillo-facial surgery	64	1	1	0	1	1	-64	0	0
Medical Oncology	589	4,938	0	498	5,071	0	-91	133	0
Neurology	4,656	13,034	3	5,473	11,207	2	817	-1,827	-1
Neurosurgery	3,048	6,042	1	2,556	6,560	0	-492	518	-1
Transient Ischaemic Attack	0	0	0	0	15	0	0	15	0
Plastic Surgery	119	0	22	0	0	11	-119	0	-11
Trauma & Orthopaedics	966	2,744	50	256	2,408	16	-710	-336	-34
Urology	173	447	2	157	1	0	-16	-446	-2
	10,812	55,961	86	9,931	55,214	34	-881	-747	-52
<u>Summary: Services at Local Prices</u>	22,808	106,849	512	21,635	104,724	39	-1,035	-2,125	-473
Summary PBR & Local Prices	101,917	297,568	26,259	98,771	308,575	31,523	-3,041	10,918	5,265

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
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APPENDIX G(2)

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	30,413	32624	2,211	107%	23,393	24292	900	104%	53,805	56,916	3,111	106%
	NON-TARIFF	1,424	1609	186	113%	518	576	58	111%	1,942	2,185	243	113%
TOTAL WEST MIDLANDS PCTS		31,836	34,233	2,397	108%	23,911	24,868	957	104%	55,747	59,101	3,354	106%
WMSCT	MAND	2,651	2281	- 370	86%	1,354	1358	4	100%	4,004	3,639	- 365	91%
	NON-TARIFF	238	298	60	125%	193	228	35	118%	431	526	96	122%
TOTAL WEST MIDLANDS SPECIALISED TEAM		2,888	2,579	- 309	89%	1,547	1,586	40	103%	4,435	4,165	- 270	94%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	2,552	986	- 1,566	39%	400	457	57	114%	2,951	1,443	- 1,508	49%
	NON-TARIFF	90	101	11	112%	48	184	136	383%	138	285	147	206%
TOTAL ALL OTHER COMMISSIONERS		2,642	1,087	- 1,555	41%	448	14	193	3%	3,090	1,101	- 1,989	36%
NON-CONTRACTED ACTIVITY	MAND	193	147	- 46	76%	203	173	- 30	85%	396	320	- 76	81%
	NON-TARIFF	16	21	5	133%	19	26	7	139%	35	47	13	136%
TOTAL NON-CONTRACTED ACTIVITY		209	168	- 41	81%	222	199	- 23	90%	431	367	- 64	85%
TOTAL MANDATORY		35,808	36,038	230	101%	25,349	26,280	931	104%	61,157	62,318	1,161	102%
TOTAL NON-MANDATORY		1,767	2,029	262	115%	778	1,014	236	130%	2,545	3,043	498	120%
TOTAL		37,575	38,067	492	101%	26,127	27,294	1,167	104%	63,702	65,361	1,659	103%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Outpatient Activity by Commissioner [Month 9 - April-December 2011]

APPENDIX G(2)

		OUTPATIENT ATTENDANCES																				
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL								
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE						
WEST MIDLANDS PCTS	MAND	76,057	75,268	-	789	99%	180,935	196,763	15,828	109%	25,235	30,962	5,727	123%	282,227	302,993	20,766	107%				
	NON-TARIFF	8,357	10,770	2,413	129%	46,259	48,740	2,481	105%	0	-	0	0%	54,617	59,510	4,893	109%					
TOTAL WEST MIDLANDS PCTS		TOTAL	84,415	86,038	1,623	102%	227,194	245,503	18,309	108%	25,235	30,962	5,727	123%	336,844	362,503	25,659	108%				
WMSCT	MAND	140	127	-	13	91%	1,217	852	-	365	70%	182	4	-	178	2%	1,538	983	-	555	64%	
	NON-TARIFF	3,707	3,233	-	474	87%	20,700	20,592	-	108	99%	-	-	-	0%	24,407	23,825	-	582	98%		
TOTAL WEST MIDLANDS SPECIALISED TEAM		TOTAL	3,846	3,360	-	486	87%	21,917	21,444	-	473	98%	182	4	-	178	2%	25,944	24,808	-	1,136	96%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	2,270	1,161	-	1,109	51%	7,108	4,947	-	2,161	70%	238	417	179	175%	9,615	6,525	-	3,090	68%		
	NON-TARIFF	1,081	298	-	783	28%	1,635	913	-	722	56%	478	25	-	453	5%	3,194	1,236	-	1,958	39%	
TOTAL ALL OTHER COMMISSIONERS		TOTAL	3,351	1,459	-	1,892	44%	8,743	5,860	-	2,883	67%	716	442	-	274	62%	12,809	7,761	-	5,048	61%
NON-CONTRACTED ACTIVITY	MAND	642	580	-	62	90%	1,460	1,289	-	171	88%	93	101	8	109%	2,195	1,970	-	225	90%		
	NON-TARIFF	45	86	41	191%	304	204	-	100	67%	34	14	-	20	41%	383	304	-	79	79%		
TOTAL NON-CONTRACTED ACTIVITY		TOTAL	687	666	-	21	97%	1,764	1,493	-	271	85%	127	115	-	12	91%	2,578	2,274	-	304	88%
TOTAL MANDATORY		MAND	79,109	77,136	-	1,973	98%	190,719	203,851	13,132	107%	25,748	31,484	5,737	0%	295,575	312,471	16,896	106%			
TOTAL NON-MANDATORY		NON-TARIFF	13,190	14,387	1,197	109%	68,898	70,449	1,551	102%	512	39	-	473	8%	82,599	84,875	2,276	103%			
TOTAL		TOTAL	92,298	91,523	-	775	99%	259,617	274,300	14,683	106%	26,259	31,523	5,264	120%	378,175	397,346	19,171	105%			